

Randomized Parameterized Algorithms (and de-randomizations)



Color Coding



Design **randomized** algorithm first, then try to **de-randomize** it.

k-Path

Input: G, k

Question: Is there a path on k vertices in G ?

Parameter: k

Will give an algorithm for k -path
with running time $(2e)^{k+o(k)}n^{O(1)}$.

Randomized Algorithm

Consider a random function $f : V(G) \rightarrow \{1 \dots k\}$

For a set S on k vertices, what is the probability that all vertices get a different color?

Good colorings of S .

Possible colorings of S .

Stirling approximation

$$\frac{k!}{k^k} \geq \frac{1}{e^k}$$

Randomized Algorithm

Repeat $e^{k \cdot t}$ times:

Pick random $f : V(G) \rightarrow \{1 \dots k\}$

Look for a colorful k -path.

For $x \geq 2$:

$$\frac{1}{4} \leq \left(1 - \frac{1}{x}\right)^x \leq \frac{1}{e}$$

If the algorithm finds a k -path, then G definitely has one.

If there is a k -path, the algorithm will find it with probability at least

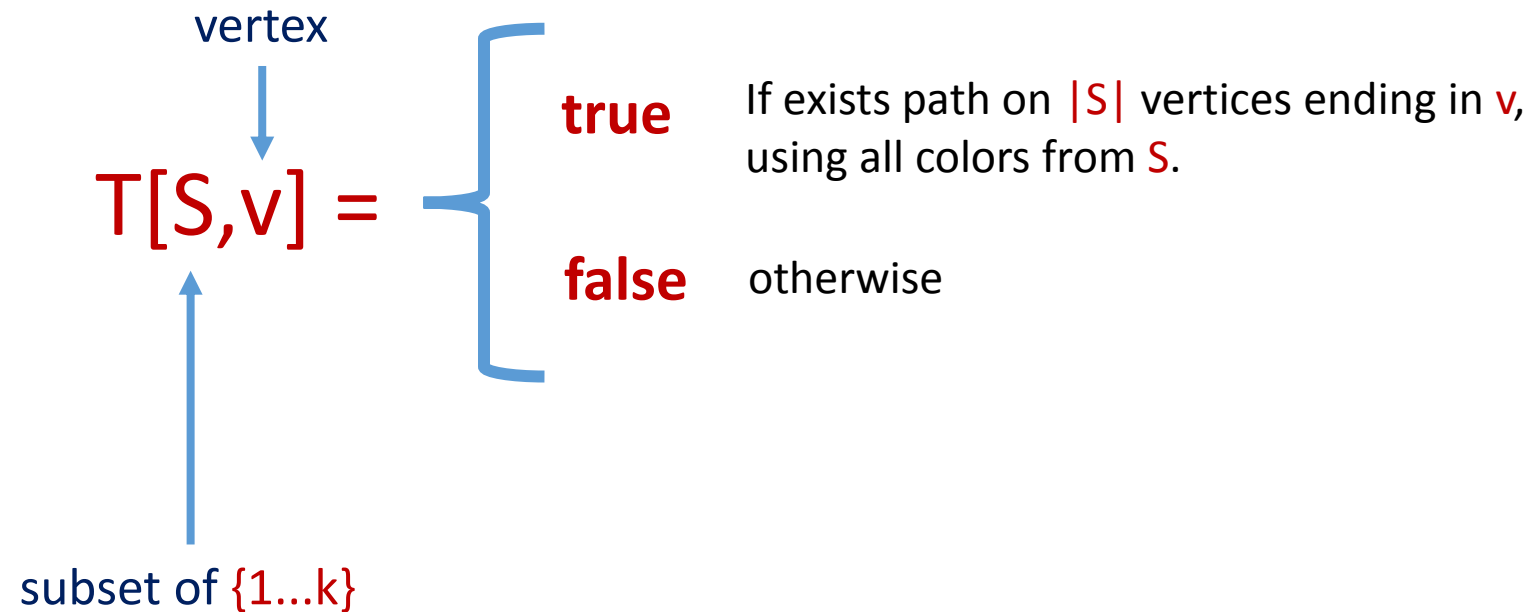
$$1 - \left(1 - \frac{1}{e^k}\right)^{e^{k \cdot t}} \geq 1 - \frac{1}{e^t}$$

Finding a Colorful k -Path

«**Exercise**» Give a $k^{O(k)}$ time algorithm to determine whether a k -colored graph has a colorful k -path.

Finding a Colorful k-Path

Dynamic programming on the colors used by partial solutions.



Dynamic Programming

$$T[S, v] = \bigvee_{u \in N(v)} T[S \setminus f(v), u]$$

For each neighbor u of v

Is there a path ending in u
that uses all colors in S , except
 v 's color?

$2^k n$ table entries

$O(n)$ time to fill each entry.

Total time: $2^k n^2$

Randomized Algorithm

Repeat $e^k \cdot 100$ times:

Pick random $f : V(G) \rightarrow \{1 \dots k\}$

Look for a colorful k -path.

← Takes 2^{kn^2}
time

If the algorithm finds a k -path, then G definitely has one.

If there is a k -path, the algorithm will find it with probability at least $1 - 1/e^{100}$

Total time: $O((2e)^{kn^2})$.

De-randomization

How can we make the algorithm deterministic?

Let $F = f_1 \dots f_t$ be a family of functions with
 $f_i: V(G) \rightarrow \{1 \dots k\}$.

F is a **k-universal hash family** F if for every set
 $S \subseteq V(G)$ of size k , there is an $f_i \in F$ such that f_i makes S colorful.

Deterministic Algorithm

Construct a k -universal hash family F .

← Takes t
time

For each $f \in F$:

Look for a colorful k -path.

← Takes $2^k n^2$
time

If the algorithm finds a k -path, then G definitely has one.

If there is a k -path, the algorithm will find it.

Total time: $O(t + |F| \cdot 2^k n^2)$.

Constructing Hash Functions

[NSS'95] Can construct a k -perfect hash family F of size $e^{k+o(k)} \log n$ in time $e^{k+o(k)} n \log n$.

k -Path in time $(2e)^{k+o(k)} n^{O(1)} \leq 5.44^k n^{O(1)}$

Random Separation: Set Splitting

Input: Family $S_1 \dots S_m$ of sets over a universe $U = v_1 \dots v_n$, integer k .

Question: Is there a coloring $c : U \rightarrow \{0,1\}$ such that at least k sets contain an element colored 0 and an element colored 1 ?

Parameter: k

Will give a $2^k n^{O(1)}$ time randomized, and a $4^k n^{O(1)}$ time deterministic algorithm.

Randomized Algorithm

Pick a random coloring $c : V(G) \rightarrow \{1,2\}$.

If c splits at least k sets, return c .

If the algorithm returns a coloring, then it is correct.

Claim: If there is a coloring ψ that splits at least k sets, then a random coloring will split at least k sets with probability at least $1/2^k$

The $2^k n^{O(1)}$ time randomized algorithm follows directly from the claim.

Proof of claim

Suppose ψ splits the sets $S_1 \dots S_k$.

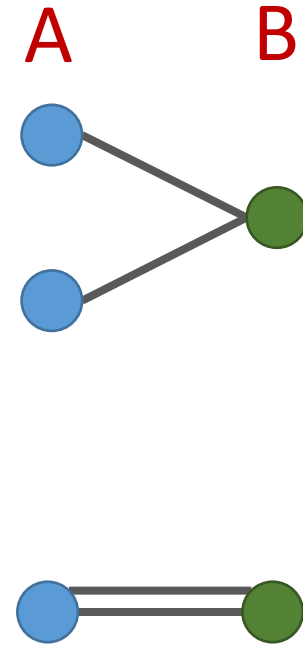
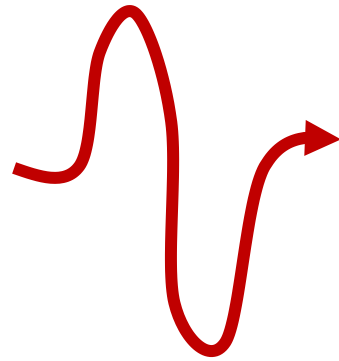
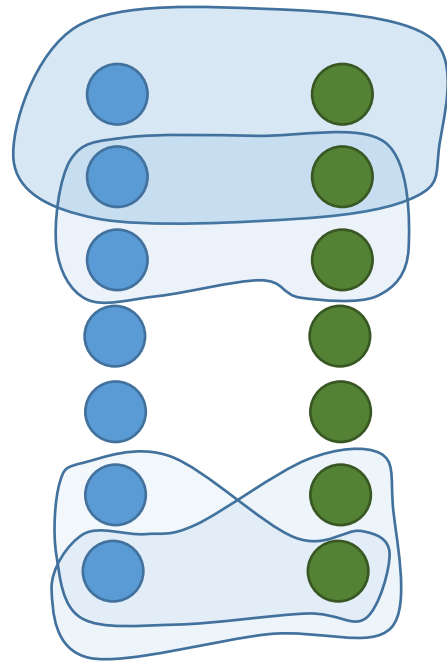
Make a bipartite graph $G=(A \cup B, E)$ as follows:

- A is a minimal hitting set for $S_1 \dots S_k$ colored 0
- B is a minimal hitting set for $S_1 \dots S_k$ colored 1

For i from 1 to k

Add one edge between a vertex in $S_i \cap A$ and a vertex in $S_i \cap B$.

Set Splitting Graph



Proof of claim, continued.

If c properly colors G then all sets $S_1 \dots S_k$ are split.

G has $\leq k$ edges and at most $\leq 2k$ vertices

G has $k+x$ vertices $\rightarrow G$ has $\geq x$ components

Probability that c properly colors G is at least:

$$\frac{\text{Number of proper colorings}}{\text{Number of colorings}} = \frac{2^x}{2^{k+x}} = \frac{1}{2^k}$$

Set Splitting Randomized Algorithm

Repeat $100 \cdot 2^k$ times:

Pick a random coloring $c : V(G) \rightarrow \{1 \dots k\}$.

If c splits at least k sets, return c .

Running time: $O(2^k nm)$

If the algorithm returns a coloring, then it is correct.

If there is a coloring that splits k sets, the algorithm will find one with probability $1 - 1/2^{100}$.

Universal Coloring Family

Let $F = \{c_1 \dots c_t\}$ be a family of colorings $V(G) \rightarrow \{0,1\}$

F is a k -universal coloring family if for every set S on at most k vertices and every way of coloring S there is some $c_i \in F$ which colors S exactly like that.

Set Splitting Algorithm

Construct $2k$ -universal coloring family F ← Takes t time

For each $c \in F$:

If c splits at least k sets, **return** c .

If the algorithm returns a coloring, then it is correct.

If there is a coloring that splits k sets, the algorithm will find one, since the graph G has $\leq 2k$ vertices.

Running time: $O(t + |F|nm)$

Construction

of Universal Coloring Families

[NSS'95] Can construct a k -universal coloring family F of size $2^{k+o(k)} \log n$ in time $2^{k+o(k)} n \log n$.

(We need a $2k$ -universal coloring family)

Set Splitting in time $4^{k+o(k)} n^{O(1)}$.

Induced Subgraph Isomorphism

Input: Graphs G and H , G has maximum degree Δ , $|V(H)| = k$

Question: Does G contain H as an **induced** subgraph?

Parameters: $\Delta + |V(H)|$

Encodes the **k-Clique** problem
(so **FPT** just by **k** is unlikely)

Naive algorithm: $n^{O(k)}$

$|V(G)|$



Will see a $\Delta^{O(k)}$ time algorithm

Random Separation

Will assume H is **connected**

Color vertices of G **red** with probability p ,
blue with probability $1-p$

Delete all **blue** vertices

Determine whether any **(red)** connected component
is **equal** to H using
Graph Isomorphism in time $2^{\text{polylog}(k)}$

Success Probability

If G does not contain H then algorithm always says **no**

If G contains H then:

All the vertices of H are colored **red** with probability p^k

All the **neighbors** of H (in G) are colored **blue**
with probability at least $(1 - p)^{\Delta k}$

$$\text{Success probability: } p^k (1 - p)^{\Delta k} = \frac{1}{\Delta^k} \left(1 - \frac{1}{\Delta}\right)^{\Delta k} \geq \frac{1}{(4\Delta)^k}$$

Set $p = 1/\Delta$

Running time

Each run of the algorithm takes $2^{o(k)}$ time.

Repeat $(4\Delta)^k$ times for constant success probability.

Total runtime: $(4\Delta)^{k+o(k)}$

Derandomization

Universal Coloring Families \rightarrow deterministic algorithm for **Induced Subgraph Isomorphism** with running time $2^{O(\Delta k)}$.

This can be improved to $\Delta^{O(k)}$.

Other variants

Simple extension 1: Algorithm for the case where H is **not necessarily connected** with essentially the same running time.

Simple extension 2: Algorithm for **Subgraph Isomorphism** problem (**not induced**) with similar ish running time.

Feedback Vertex Set

Feedback Vertex Set (FVS)

IN: G, k

Q: Is there a set S of $\leq k$ vertices such that $G \setminus S$ is a forest?

FVS reduction rules

R1: Delete vertices of degree ≤ 1

R2: Replace degree 2 vertices by edges (keep multiedges)

α -cover Lemma

A set $S \subseteq V(G)$ is an α -cover if

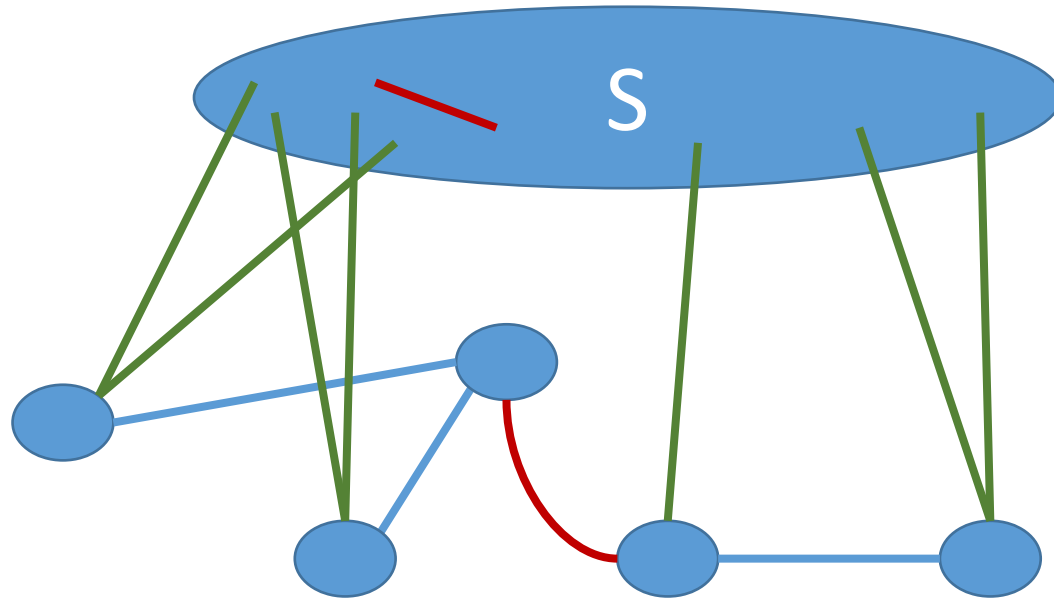
$$\sum_{v \in S} d(v) \geq \alpha \cdot \sum_{v \in V(G)} d(v) (= \alpha \cdot 2m)$$

Lemma: If **R1** and **R2** do not apply, then every feedback vertex set **S** of **G** is a $\frac{1}{4}$ -cover.

α -cover Lemma

Lemma: If **R1** and **R2** do not apply then every feedback vertex is a $\frac{1}{4}$ -cover.

Proof:



$$\frac{\sum_{v \in S} d(v) - 2}{\sum_{v \in V(G)} d(v) + 2}$$

Algorithm for FVS

while G is not empty

Apply $R1$ and $R2$ on G exhaustively

Select a vertex v with $\text{prob} = d(v) / 2m$.

$S := S \cup \{v\}$

$G := G \setminus v$

If $|S| > k$ **output** **NO**

output S



Succeeds with probability $\frac{1}{4}$

Feedback Vertex Set

Runs in $O(k(n+m))$ time, succeeds with $\frac{1}{4^k}$ probability.

So $O(4^k k(n+m))$ time for constant success probability.

Expected output solution size is $\leq 4OPT$, this is a 4-approximation!

Feedback Vertex Set below 2^n

Feedback Vertex Set (FVS)

IN: G, k

Q: Is there a set S of $\leq k$ vertices such that $G \setminus S$ is a forest?

Saw a $4^k n^{O(1)}$ time algorithm

Can we beat 2^n ?

Branching is tricky

Next: $O((2 - \frac{1}{4})^n) = O(1.75^n)$ time using $4^k n^{O(1)}$ as black box

Feedback Vertex Set algorithm

Given n , k , pick integer $t \leq k$.

Pick a set S of size t uniformly at random, put S in solution.

(By deleting S and decreasing parameter by t)

Try to extend S to a feedback vertex set of size $\leq k$

(By running $4^k n^{O(1)}$ time algorithm on $(G-S, k-t)$)

Analysis

Success probability: $\frac{\binom{k}{t}}{\binom{n}{t}}$

Number of subsets of solution of size t

Number of subsets of size t

Running time for
constant success probability: $\frac{\binom{n}{t}}{\binom{k}{t}} 4^{k-t}$

Given n and k pick t so that
running time is minimized:

$$\min_{t \leq k} \frac{\binom{n}{t}}{\binom{k}{t}} 4^{k-t}$$

Running time: $4^{k-t} n^{O(1)}$

Given n , consider the worst k :

$$\max_{k \leq n} \min_{t \leq k} \frac{\binom{n}{t}}{\binom{k}{t}} 4^{k-t}$$

Analysis, cont'd

Lemma: For all $c > 1$, $\max_{k \leq n} \min_{t \leq k} \frac{\binom{n}{t}}{\binom{k}{t}} c^{k-t} \leq O\left(\left(2 - \frac{1}{c}\right)^n\right)$

Corollary: Feedback Vertex Set in $O\left(\left(2 - \frac{1}{4}\right)^n\right) = O(1.75^n)$ time.

Generalizing

Nothing in the algorithm / analysis was specific to FVS!

Look for a set of size k in a universe of size n .

If we can extend a set of size t to a solution of size k in time $c^{k-t}n^{O(1)}$ then

We can find a solution in time $O\left(\left(2 - \frac{1}{c}\right)^n\right)$

Can be fully derandomized.

Chromatic Coding

Random Separation

Techniques

Picking Random Solution Vertices

Color Coding

Mod 2 Counting + Isolation

Thank
you!

