

# LOSSY KERNELIZATION

PARAMETERIZED COMPLEXITY SUMMER SCHOOL

ALGO 2017

VIENNA

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TU WIEN

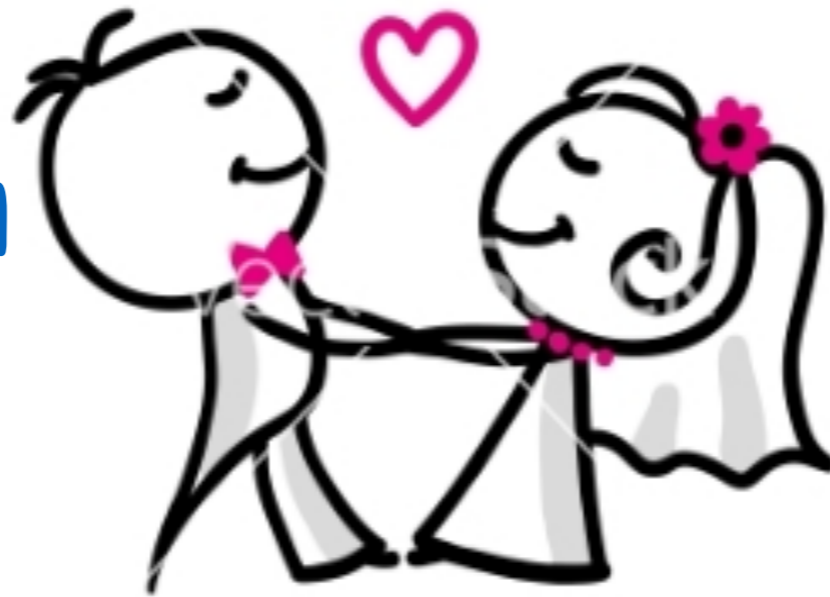
# LOSSY Kernelization

APPROXIMATION  
ALGORITHMS

KERNELIZATION

# LOSSY Kernelization

APPROXIMATION  
ALGORITHMS



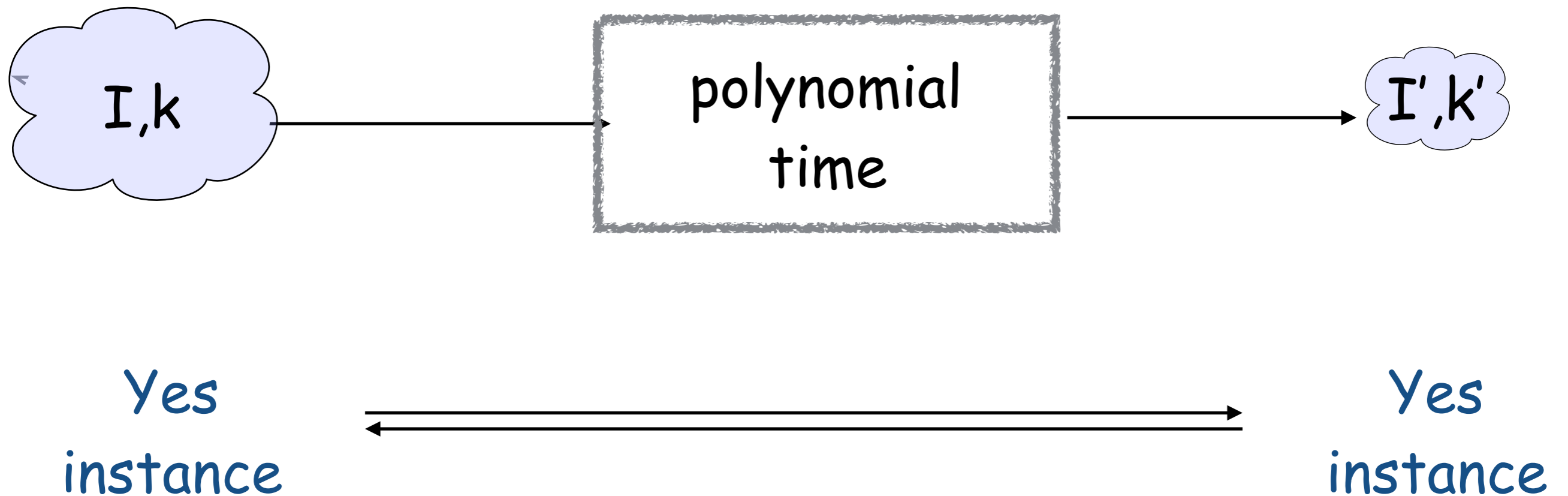
KERNELIZATION

LOSSY



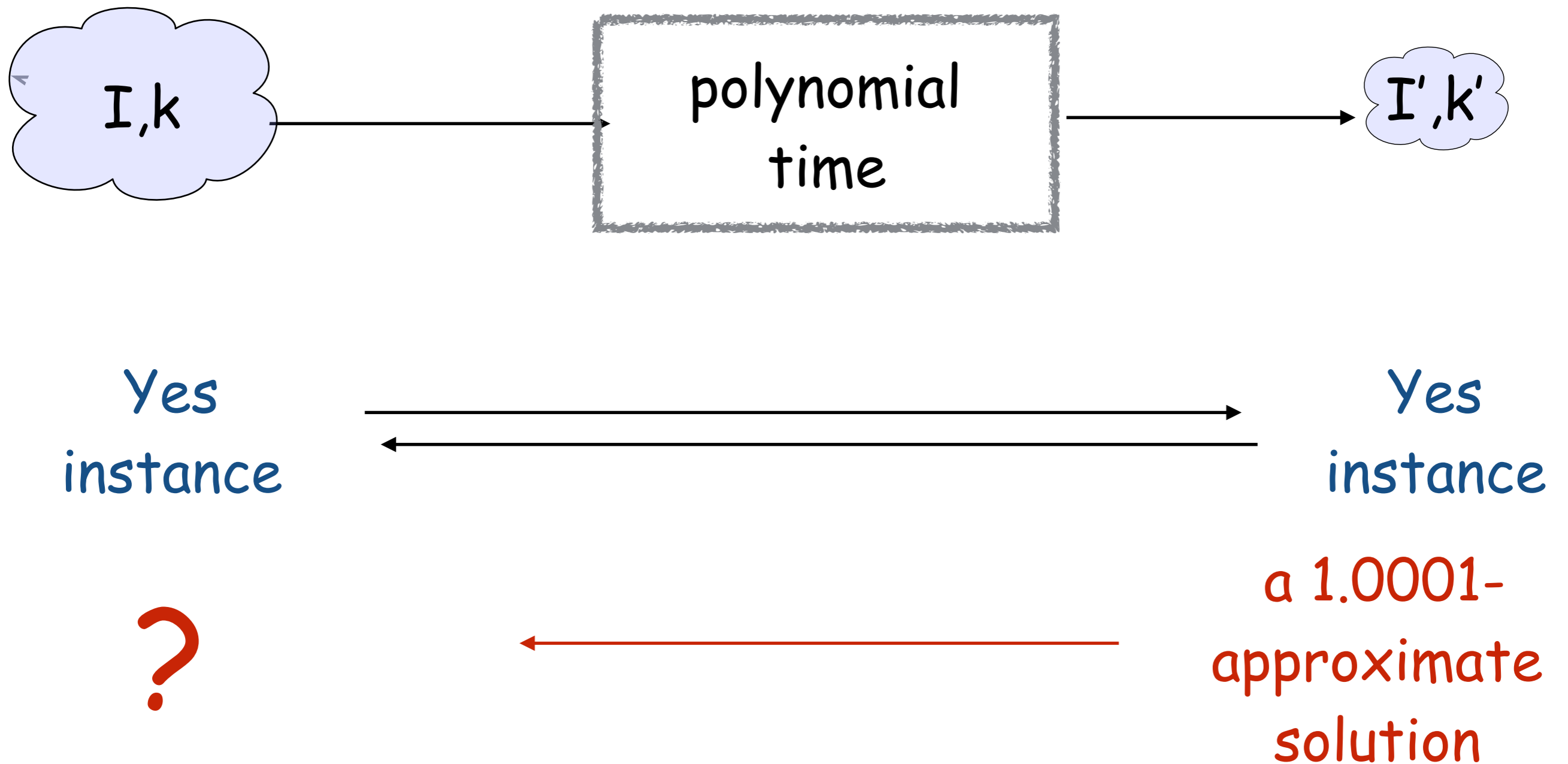
KERNELS

# Kernelization

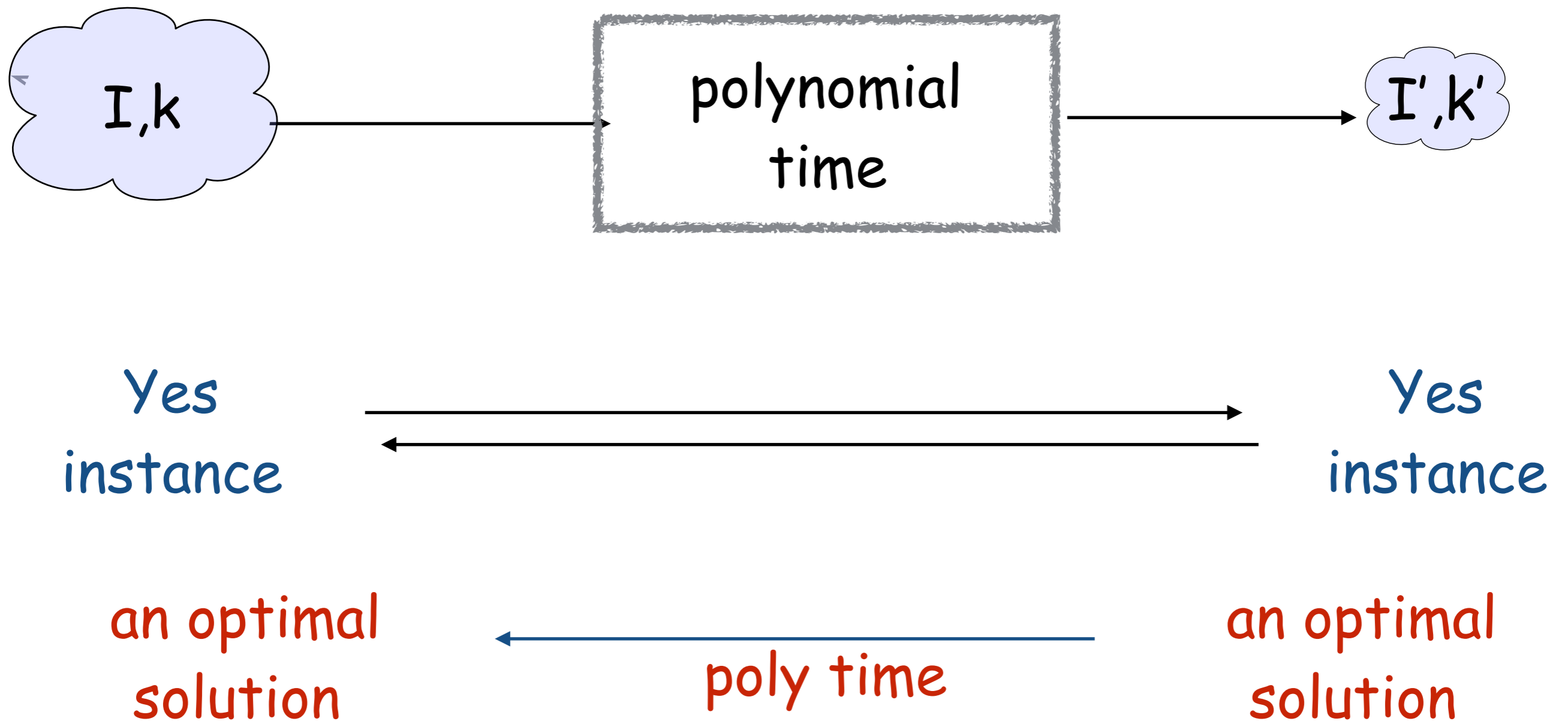


Polynomial Kernel if  $|I'| + k' < \text{poly}(k)$

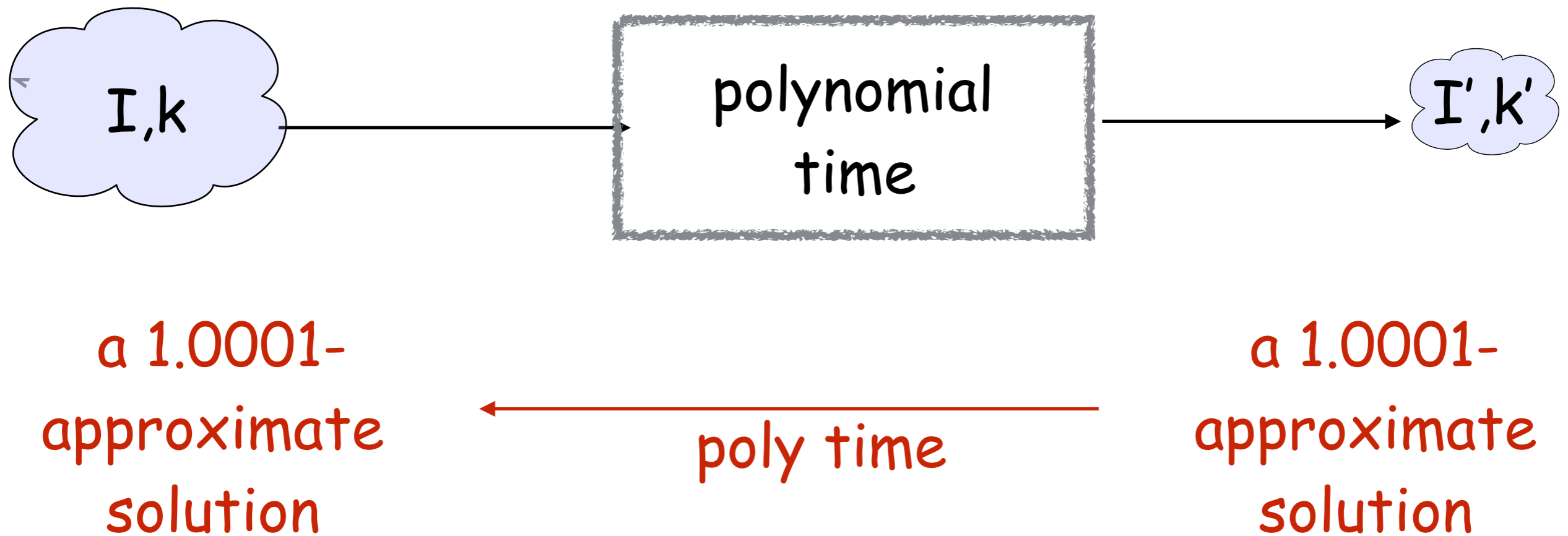
# Kernelization



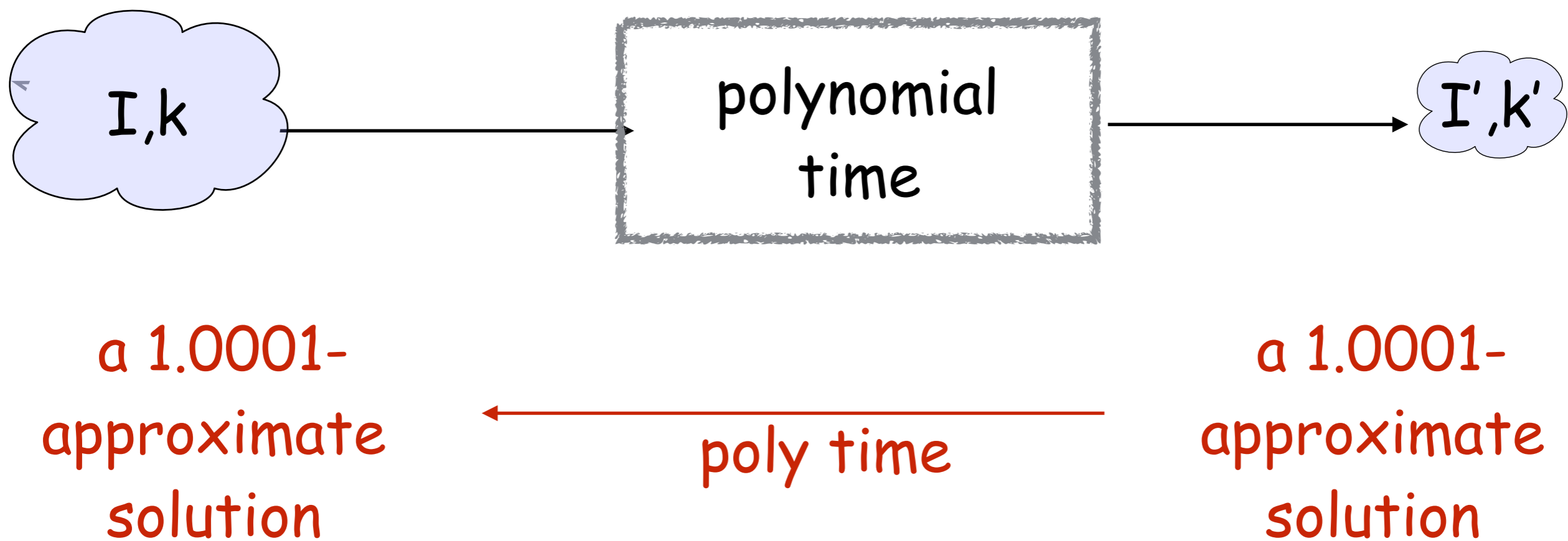
# Kernelization



# Kernelization



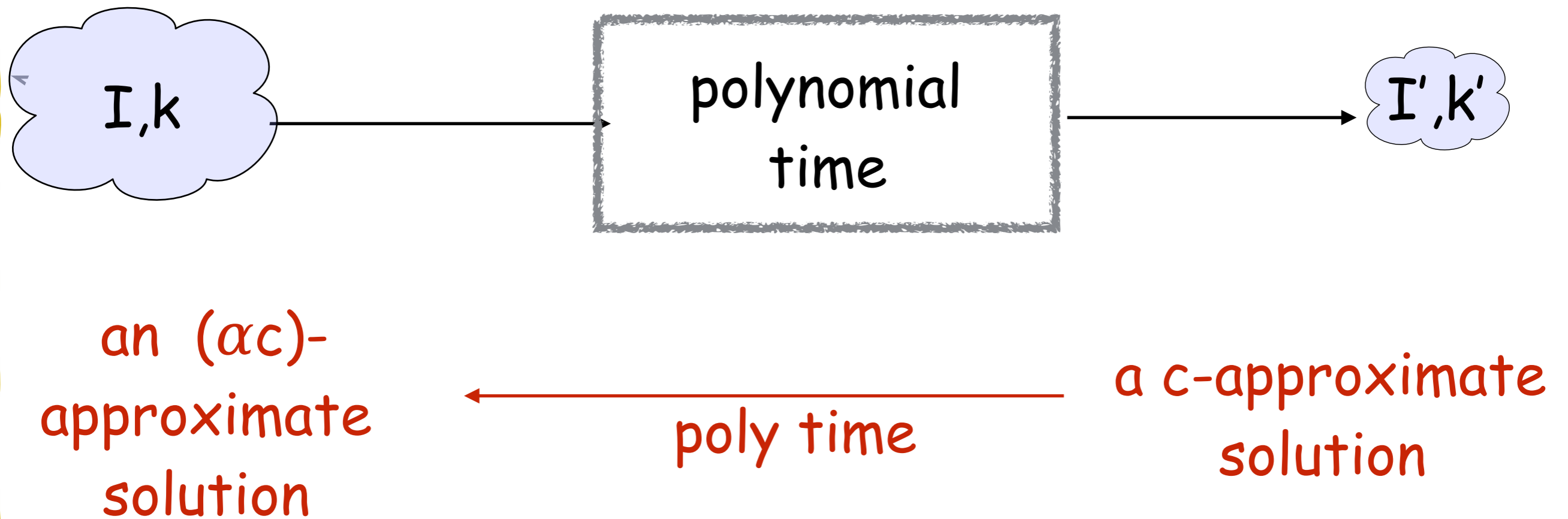
# approximate Kernelization



But if we are allowing a loss while solving  $I'$ , makes sense to allow a slight loss while lifting a solution back to  $I$

# $\alpha$ -approximate Kernelization

[Lokshtanov, Panolan, R., Saurabh, 17]



Polynomial  $\alpha$ -approximate Kernel if  $|I'| + k' < \text{poly}(k)$

# Previously

A problem is FPT  
if and only if  
it has a kernel.

A problem has an FPT time  $\alpha$ -approximation  
if and only if  
it has a  $\alpha$ -approximate kernel

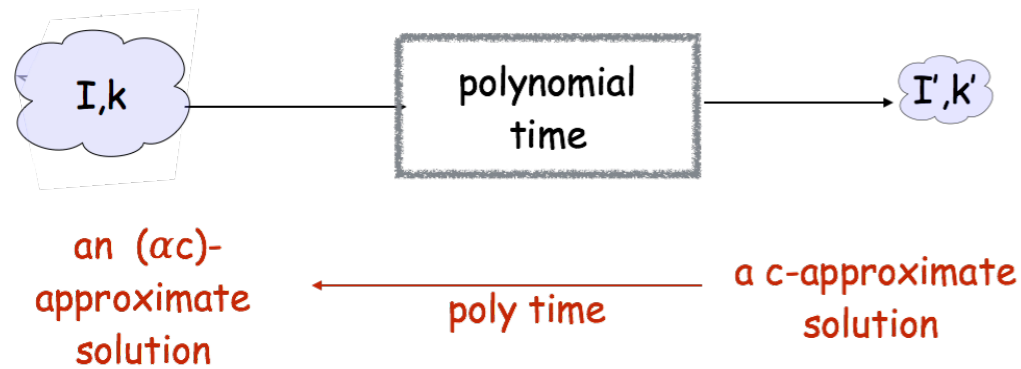
# Now

# Previously

A problem is in  $P$   
if and only if  
it has a constant size kernel.

A problem has a poly time  $\alpha$ -approximation  
if and only if  
it has a constant size  $\alpha$ -approximate kernel

# Now



A problem has a poly time  $\alpha$ -approximation if and only if it has a constant size  $\alpha$ -approximate kernel



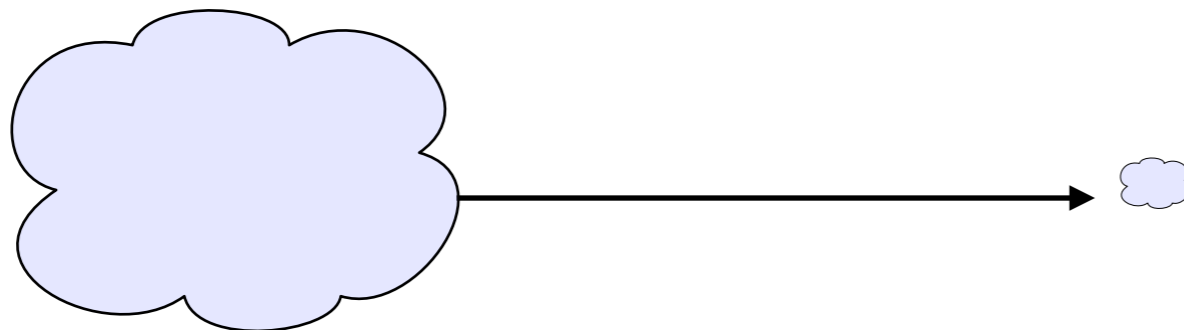
we are given a poly time  $\alpha$ -approx algo

want to define this object

$(I, k)$

$(I', k')$

an arbitrary instance of constant size

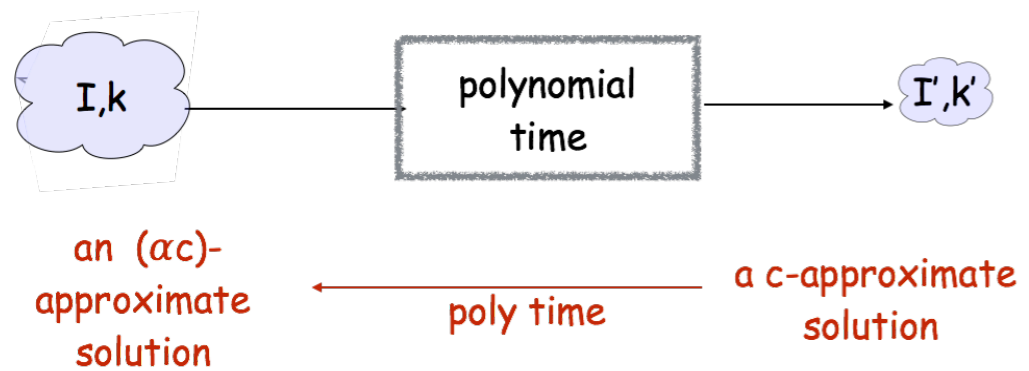


an  $(\alpha c)$ -approximate solution

← run poly time  $\alpha$ -approximation on  $(I, k)$

a  $c$ -approximate solution





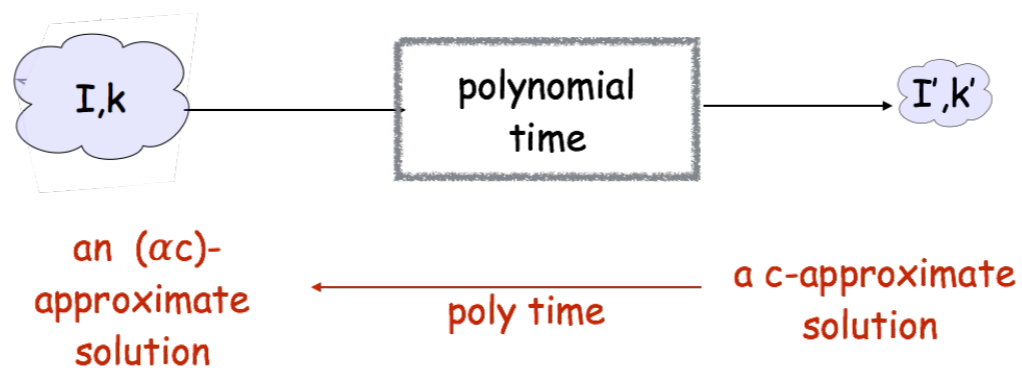
A problem has a poly time  $\alpha$ -approximation  
if and only if  
it has a constant size  $\alpha$ -approximate kernel

we are given this object

want to define

**poly time  $\alpha$ -approximation**

$I, k$



find a  
**1-approximate  
solution**

an  $\alpha$ -approximate  
solution

# $\alpha$ -approximate Kernelization

A problem has a poly time  $\alpha$ -approximation  
if and only if  
it has a constant size  $\alpha$ -approximate kernel



want to design  $\alpha$ -approximate  
kernel where

$\alpha$  beats best known  
approx bound

size beats best  
known kernel bound

# Max SAT

Given a CNF formula, what is the maximum number of clauses which can be satisfied?

APX-hard  
[BGLR 93]

no kernel of size  $\text{poly}(n)$   
[Fortnow Santhanam, 08]



want to design  $\alpha$ -approximate  
kernel where

$\alpha = (1 - \epsilon)$

size is polynomial

APX-hard  
[BGLR 93]

Max SAT

no kernel of size  $\text{poly}(n)$   
[FS 08]

Set  $d = \log(2/\epsilon)$

if at most  $\epsilon m/2$  clauses have  
size at most  $d$

otherwise

then a random assignment will leave  
at most  $\epsilon m/2 + m/2^d = \epsilon m$  clauses  
unsatisfied

at least  $(1-\epsilon)m$  clauses  
satisfied!

$\epsilon m/2 \leq \# \text{ small clauses} \leq n^{\log(2/\epsilon)}$

$m = O(n^{\log(2/\epsilon)})$

APX-hard  
[BGLR 93]

Max SAT

no kernel of size  $\text{poly}(n)$   
[FS 08]

Set  $d = \log(2/\epsilon)$

at least  $(1-\epsilon)m$  clauses  
satisfied!

$m = O(n^{\log(2/\epsilon)})$

find a  $(1-\epsilon)$ -approximation

OR

already have  
an  $O(n^c)$  kernel

APX-hard  
[BGLR 93]

Max SAT

no kernel of size  $\text{poly}(n)$   
[FS 08]

Set  $d = \log(2/\epsilon)$

at least  $(1-\epsilon)m$  clauses  
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find a  $(1-\epsilon)$ -approximation

OR

already have  
an  $O(n^c)$  kernel



a  $(1-\epsilon)$ -approximate kernel of  
size  $O(n^c)$

For free!

Problems parameterized by solution  
value

Problems parameterized by solution  
value

What is  $k$  in this context?  $k$  is a threshold  
on the size of solutions we want to output

# Connected Vertex Cover

A subset of vertices  
such that  
EVERY edge of  $G$  is  
incident on  
some vertex in this  
subset.

Given a graph  $G$ , find a smallest vertex cover  
which induces a connected subgraph?

2-approximable [AHH 93]

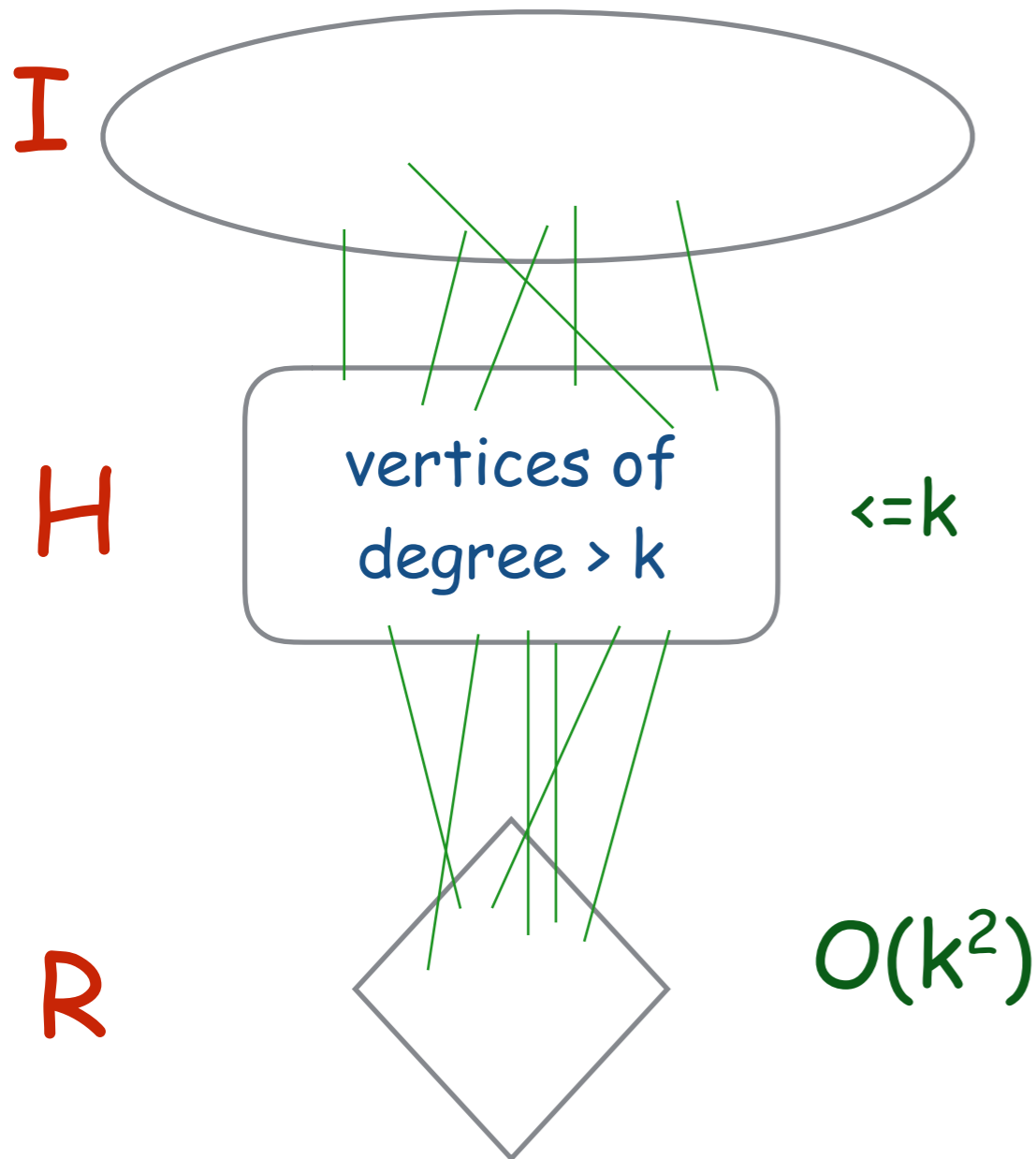
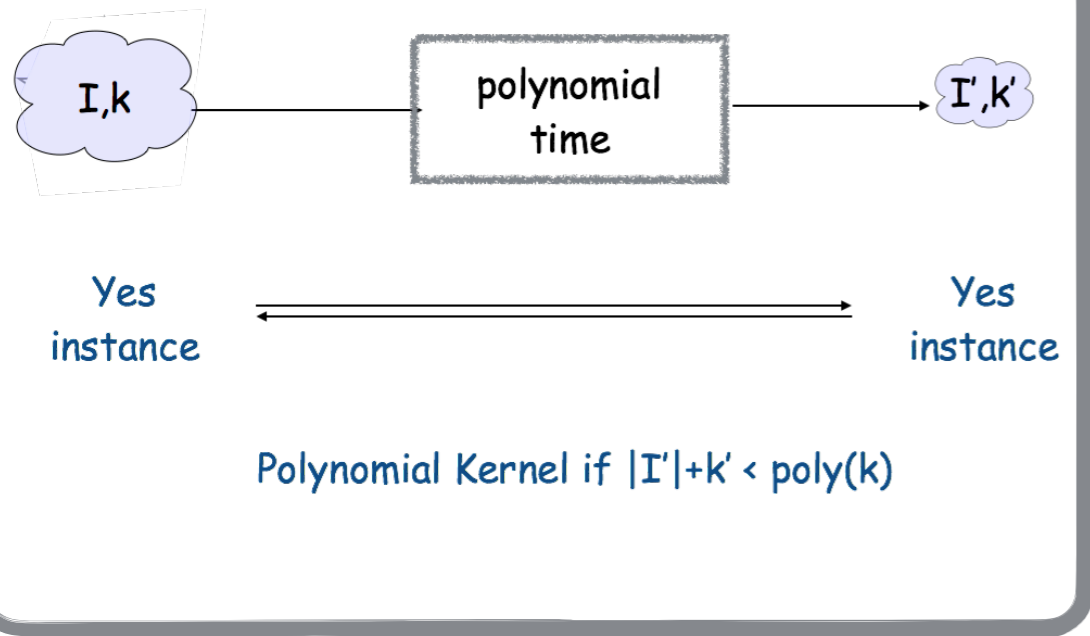
$2^k$  kernel

no  $(2-\epsilon)$  approximation under  
UGC [KR 08]

no polynomial kernel [DLS 09]

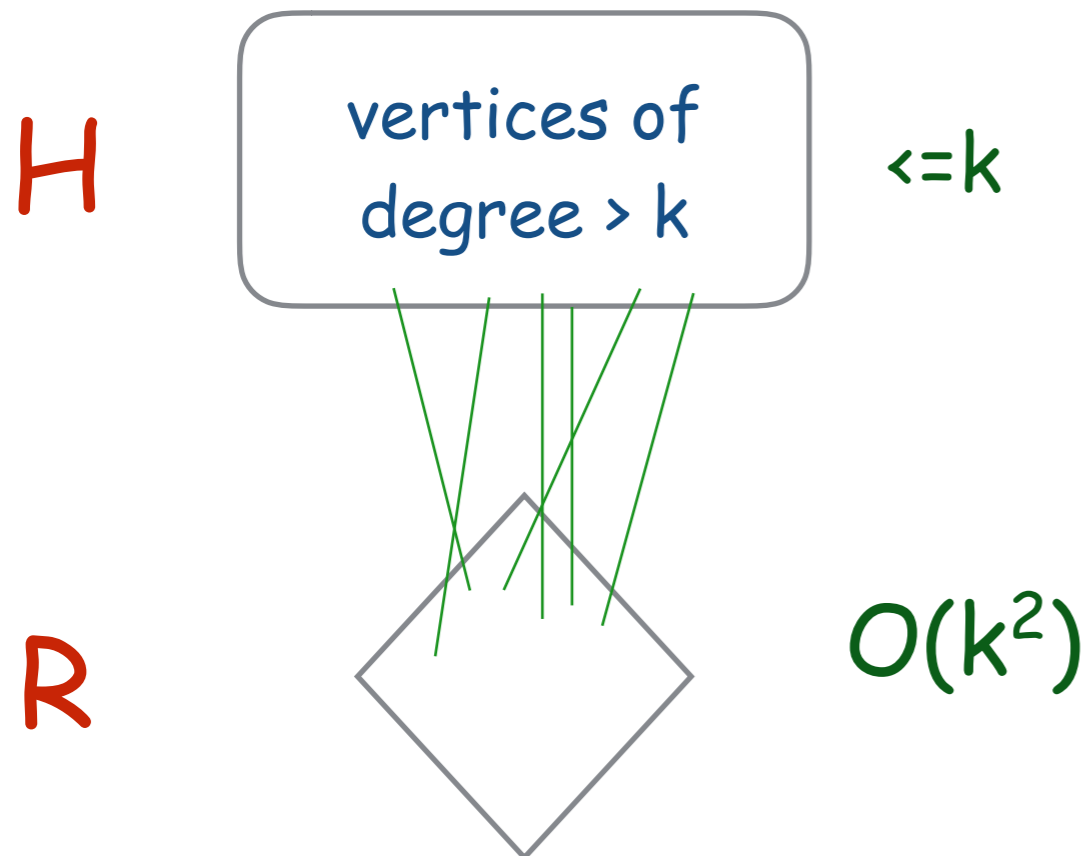
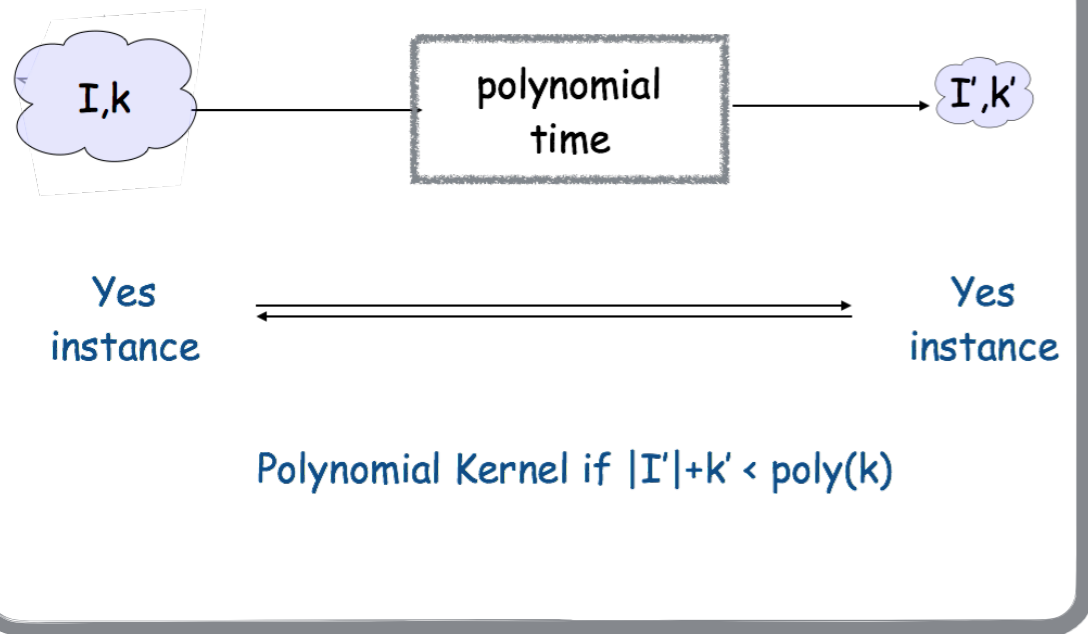
## vertex cover

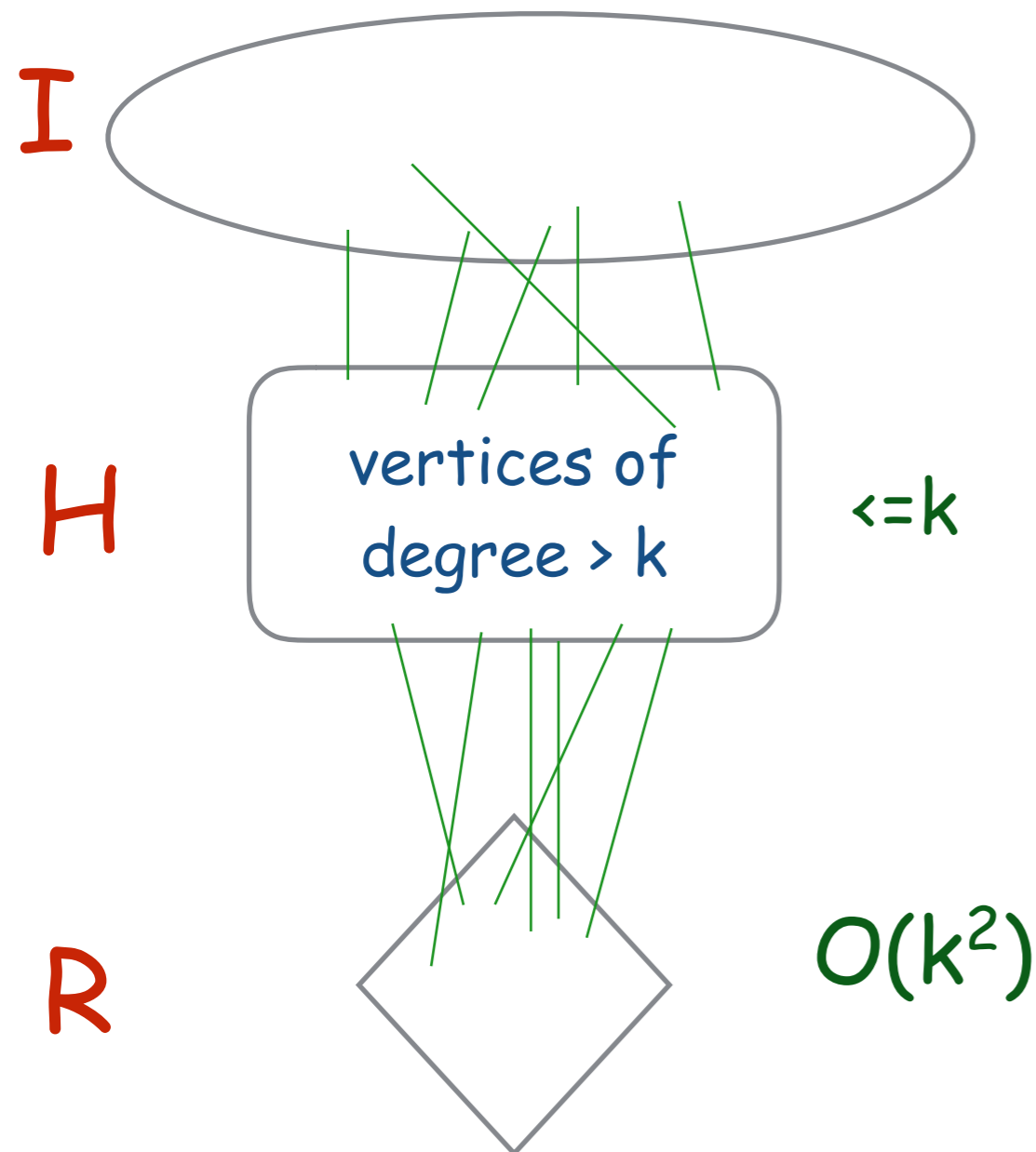
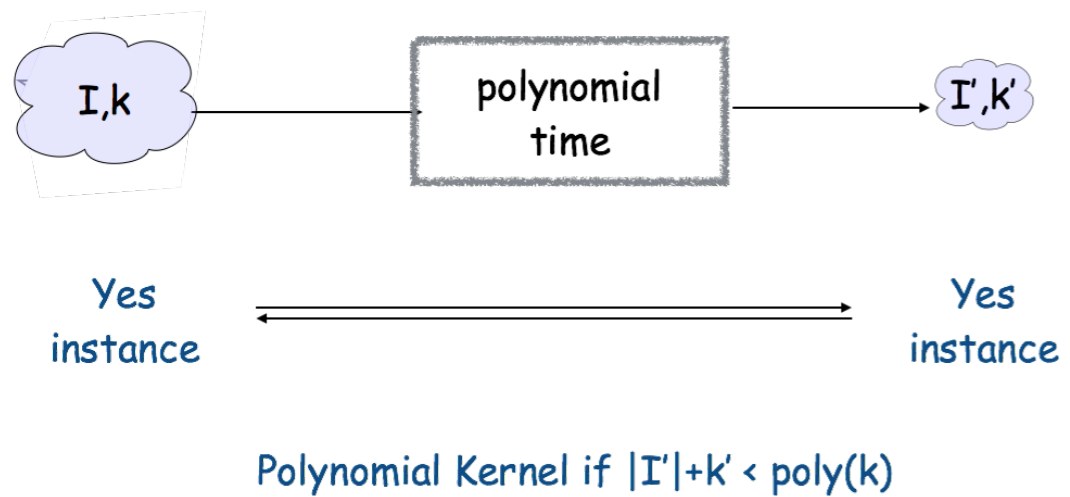
- $H$  = vertices of degree at least  $k+1$
- $R$  = vertices with at least one neighbor not in  $H$ .
- $I$  = remaining vertices, have all neighbors in  $H$ , must be independent.



## vertex cover

- $H$  = vertices of degree at least  $k+1$
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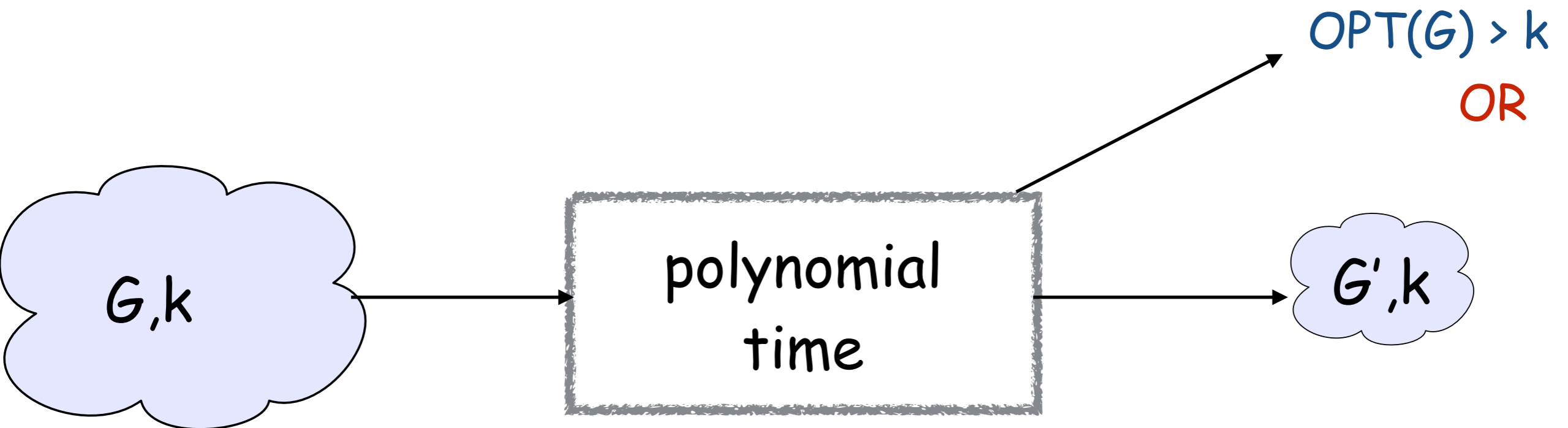




## CONNECTED vertex cover

- $H$  = vertices of degree at least  $k+1$
- $R$  = vertices with at least one neighbor not in  $H$ .
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## Connected Vertex Cover

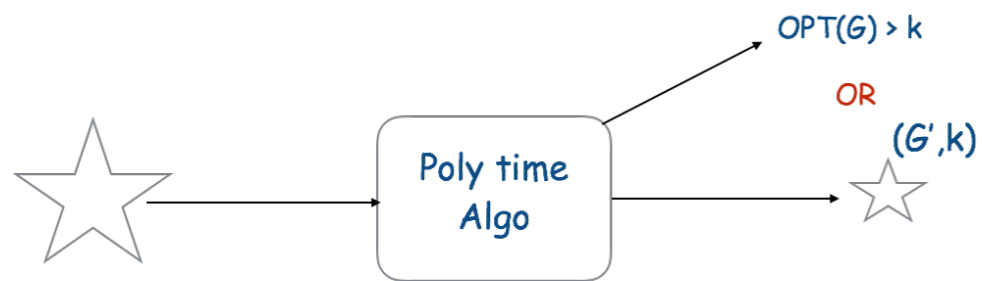


(a) a feasible solution  $\longleftrightarrow$  every feasible solution

(b)  $\text{OPT}(G) (1+\epsilon) \geq \text{OPT}(G')$

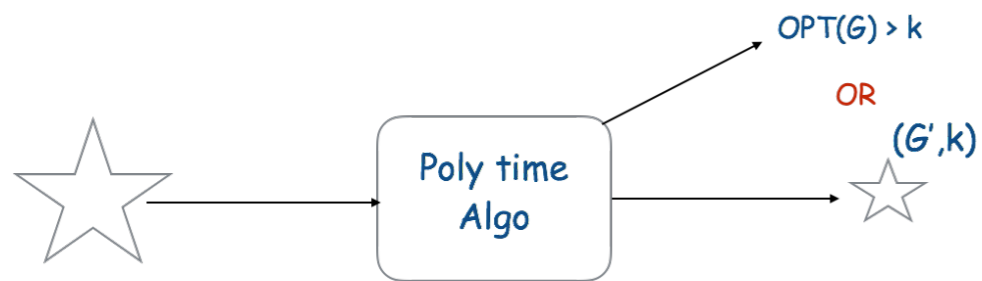
(c)  $|V(G')| \leq k^{O(1/\epsilon)}$

Hint: Somehow store interesting solutions within  $k^{O(1/\epsilon)}$  vertices.



- (a) a feasible solution ← every feasible solution
- (b)  $\text{OPT}(G) (1+\epsilon) \geq \text{OPT}(G')$
- (c)  $|V(G')| \leq k^{O(1/\epsilon)}$

- Run the 2-approximation algorithm.
- If output  $> 2k$ , then say  $\text{OPT}(G) > k$  and stop.
- Otherwise,  $\text{OPT}(G) \leq 2k$

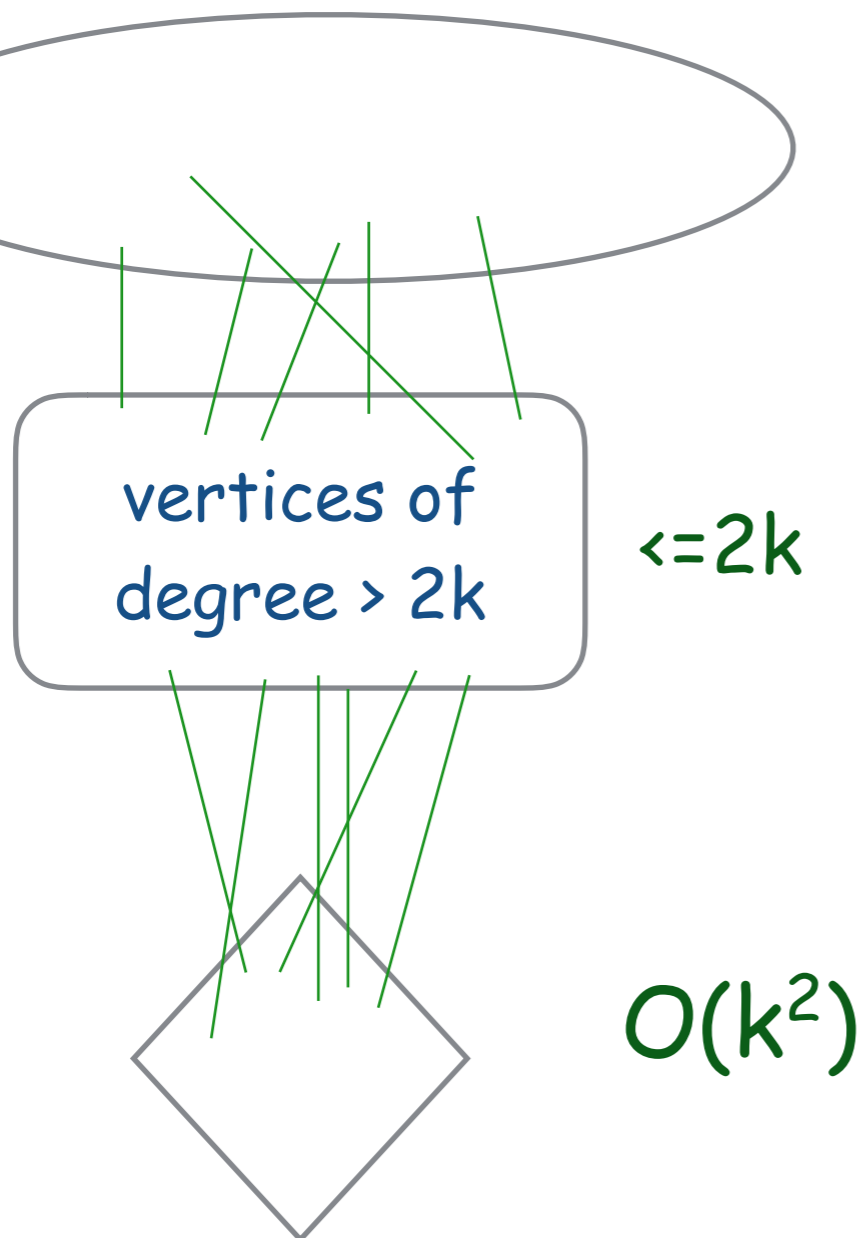


- (a) a feasible solution ← every feasible solution
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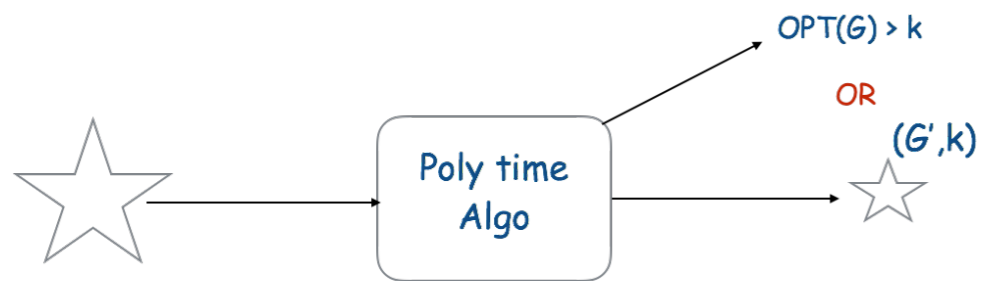
**I**

**H**

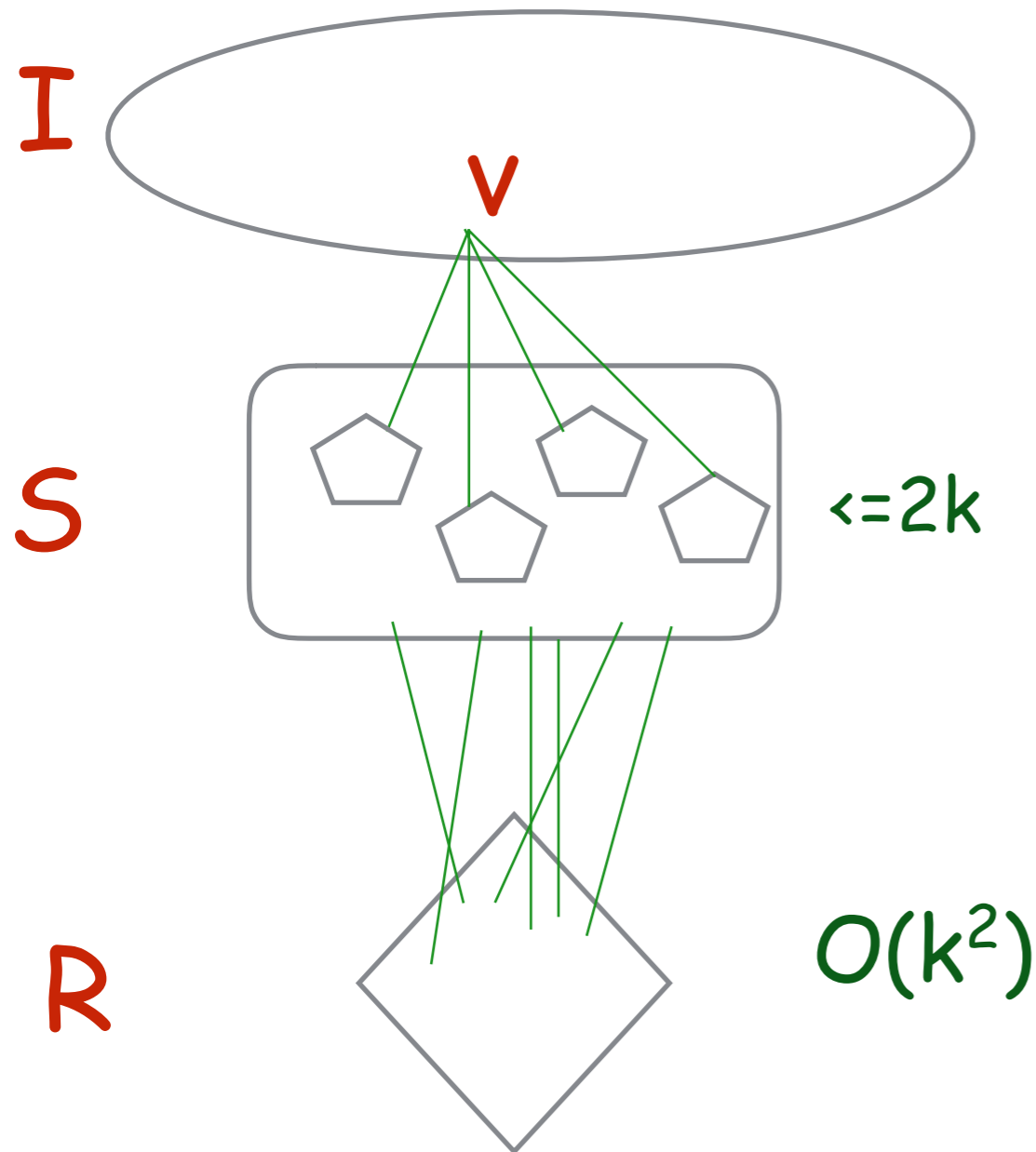
**R**



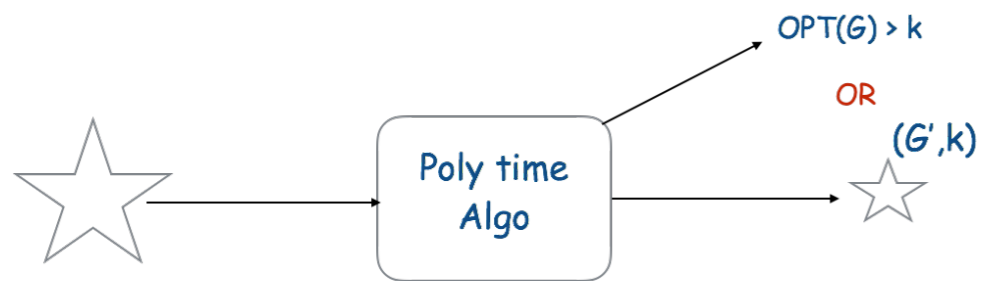
- **H** = vertices of degree at least  $2k+1$
- **R** = vertices incident on at least one edge not incident on **H**.
- **I** = remaining vertices, must be independent.
- add a pendant to vertices in **H**.



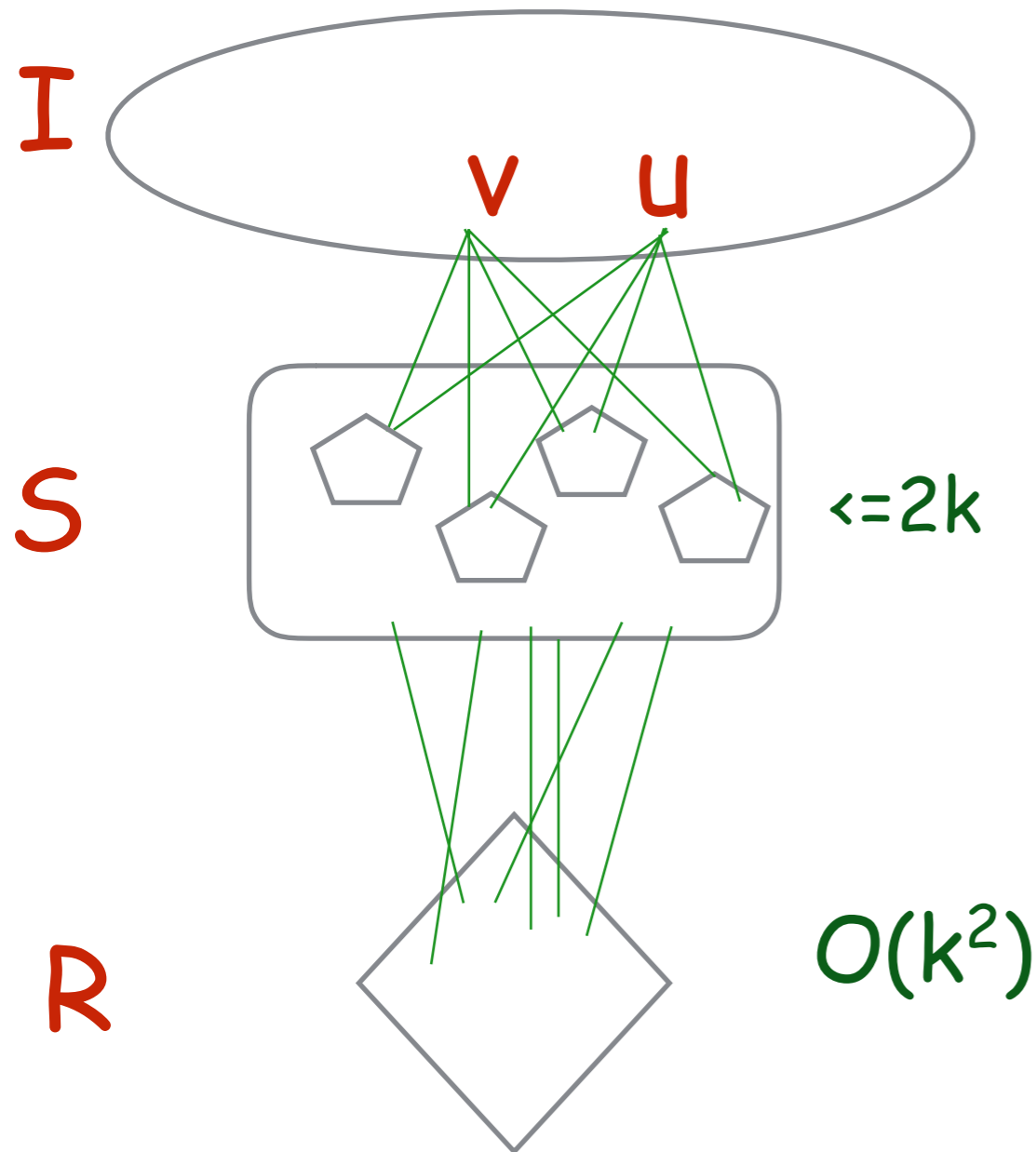
- (a) a feasible solution ← every feasible solution
- (b)  $\text{OPT}(G) (1+\epsilon) \geq \text{OPT}(G')$
- (c)  $|V(G')| \leq k^{O(1/\epsilon)}$



- $S := H, d = 2/\epsilon$
- As long as there is a vertex  $v$  in  $I$  seeing  $\geq d$  components of  $G[S]$ , set  $S := S \cup \{v\}$ , add pendant to  $v$ .
- Procedure stops after  $\leq \epsilon/2 |H| \leq \epsilon \text{OPT}(G)$  steps
- So,  $\text{OPT}(G') \leq \text{OPT}(G) (1+\epsilon)$
- What about size of  $G'$ ?



- (a) a feasible solution ← every feasible solution
- (b)  $\text{OPT}(G) (1+\epsilon) \geq \text{OPT}(G')$
- (c)  $|V(G')| \leq k^{O(1/\epsilon)}$

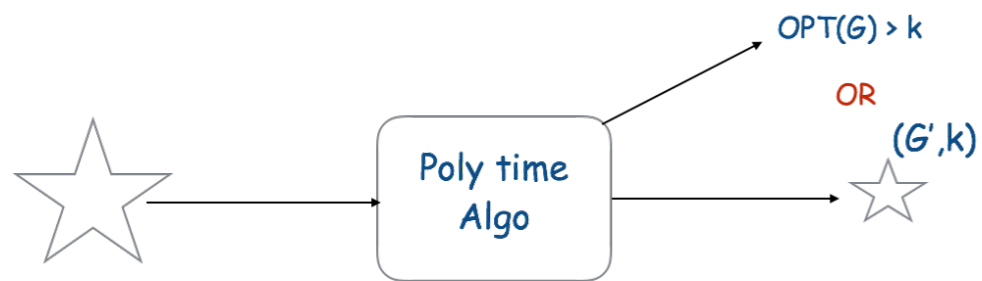


- if  $u$  and  $v$  have the same neighbouring components in  $G[S]$  just delete one.

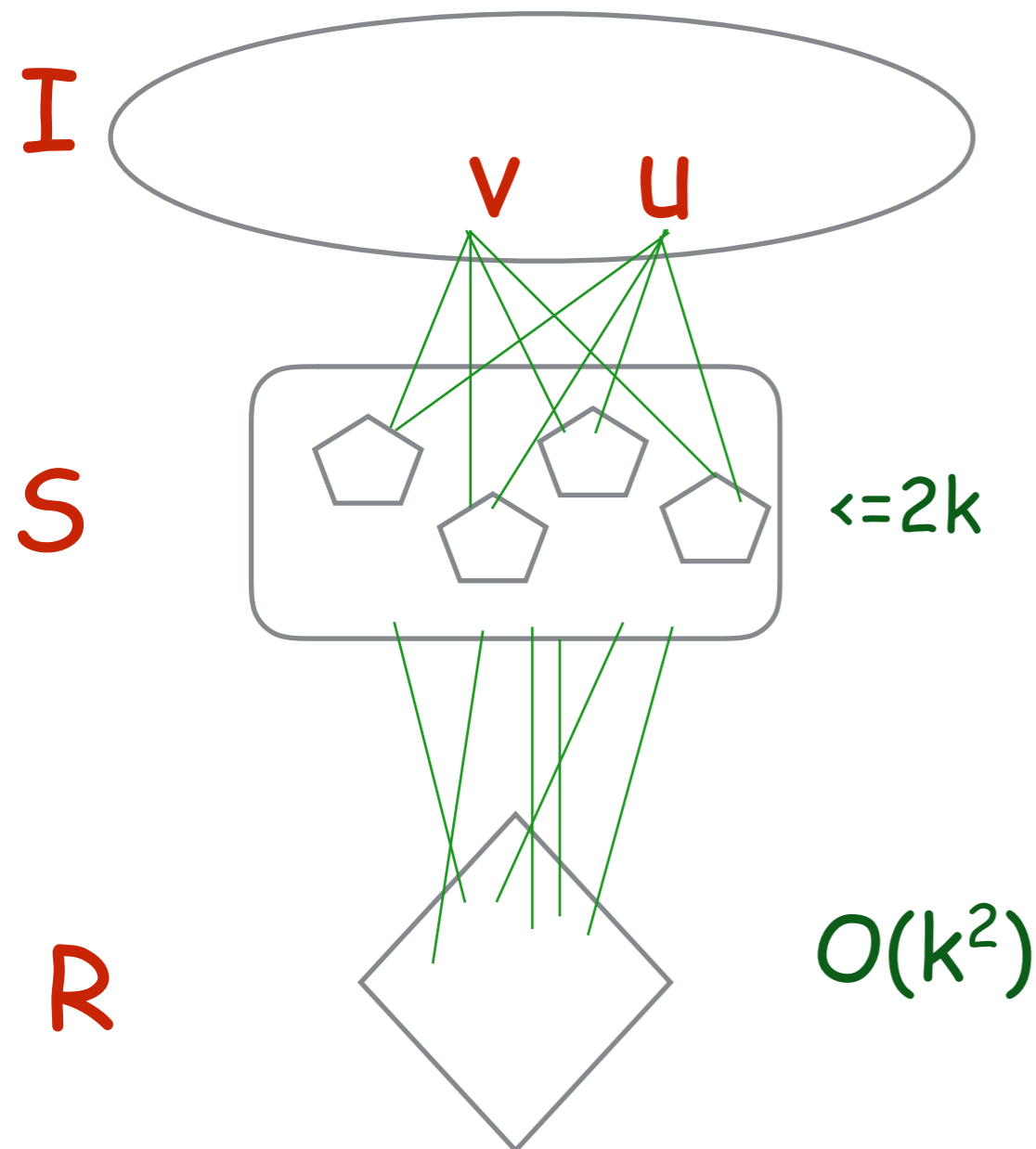
- Finally, we are left with

$$|I| \leq k^{O(d)} = k^{O(1/\epsilon)}$$

- Size bound holds.
- $(1+\epsilon)$ -approximate kernel of size  $k^{O(1/\epsilon)}$  for Conn. Vertex Cover.



- (a) a feasible solution ← every feasible solution
- (b)  $\text{OPT}(G) (1+\epsilon) \geq \text{OPT}(G')$
- (c)  $|V(G')| \leq k^{O(1/\epsilon)}$



## Open Problem:

Is there a  $(1+\epsilon)$ -approx.  
kernel of size  $f(1/\epsilon) k^{O(1)}$

Efficient PSAPS?

- $(1+\epsilon)$ -approximate kernel of size  $k^{O(1/\epsilon)}$  for Conn. Vertex Cover.

Polynomial Size Approximate

Kernelization Scheme

We just saw a PSAKS for connected vertex cover.

## Another example: $H$ -hitting set

Given a graph  $G$ , find a smallest  $H$ -hitting set which induces a connected subgraph?

$H$  is a fixed finite family of finite graphs.

We want to hit every copy of a graph in  $H$ , which is in  $G$ .

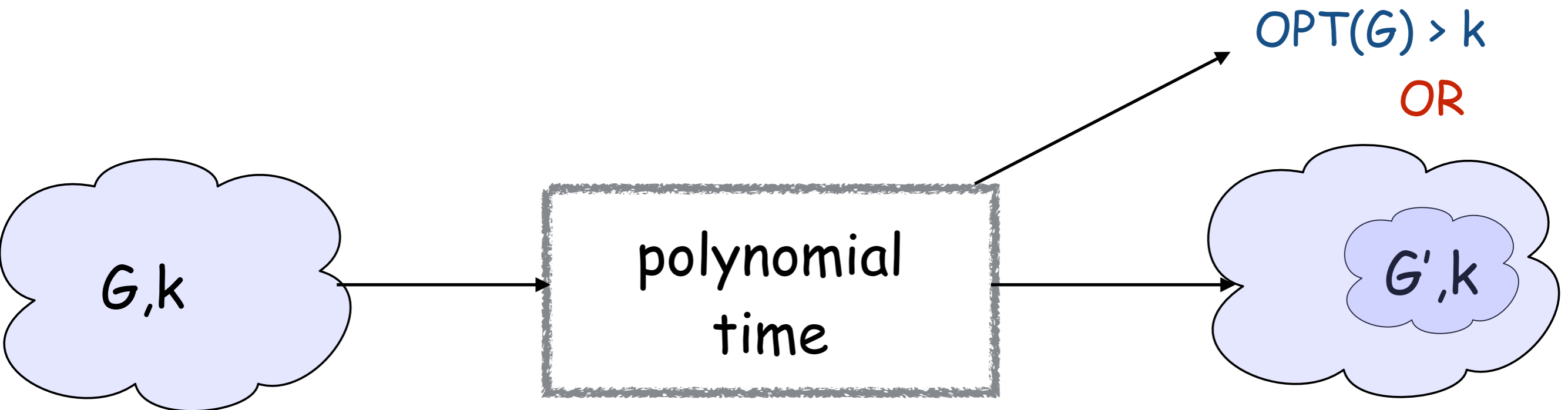
When  $H=\{K_2\}$ , then we have the Connected Vertex Cover problem.

# An approximate kernel for $H$ -hitting set

The connected  $H$ -hitting set problem has a  $(1+\epsilon)$ -approximate kernelization of polynomial size for every  $0 < \epsilon < 1$ .

[Eiben, Hermelin, R. 17]

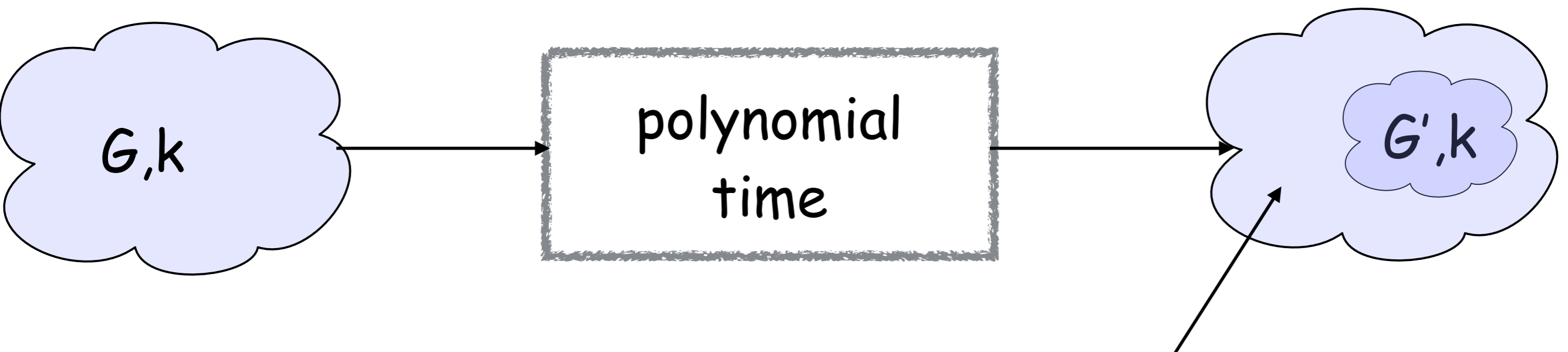
## Known kernel for $H$ -hitting set



Every (not necessarily connected)  $H$ -hitting set of  $G'$  of size at most  $k$  is a (not necessarily connected)  $H$ -hitting set of  $G$ .

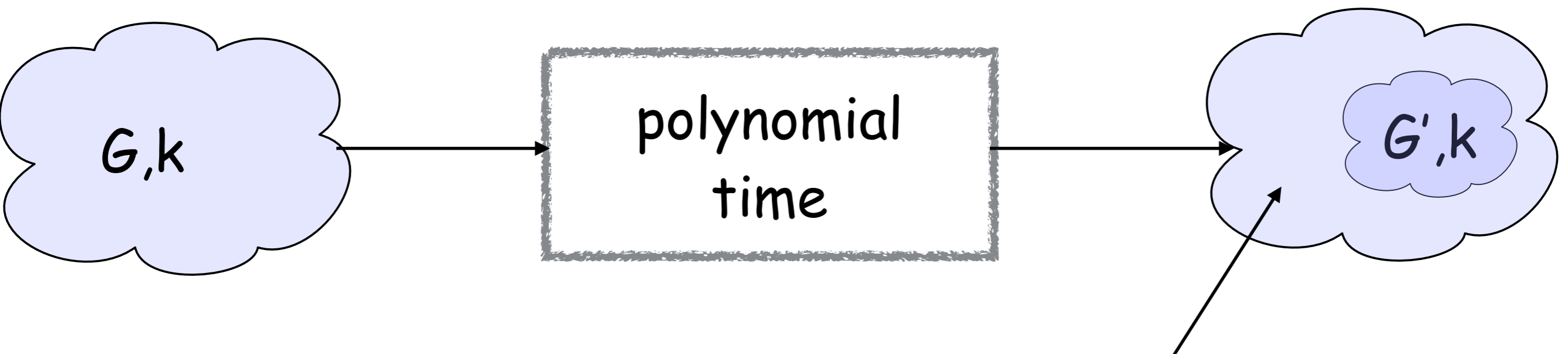
$|V(G')| = k^{O(d)}$  where  $d$  = size of largest graph in  $H$

## Known kernel for $H$ -hitting set



Like for connected vertex cover, vertices outside  $G'$  are only needed for connectivity

## Known kernel for $H$ -hitting set



Like for connected vertex cover, vertices outside  $G'$  are only needed for connectivity

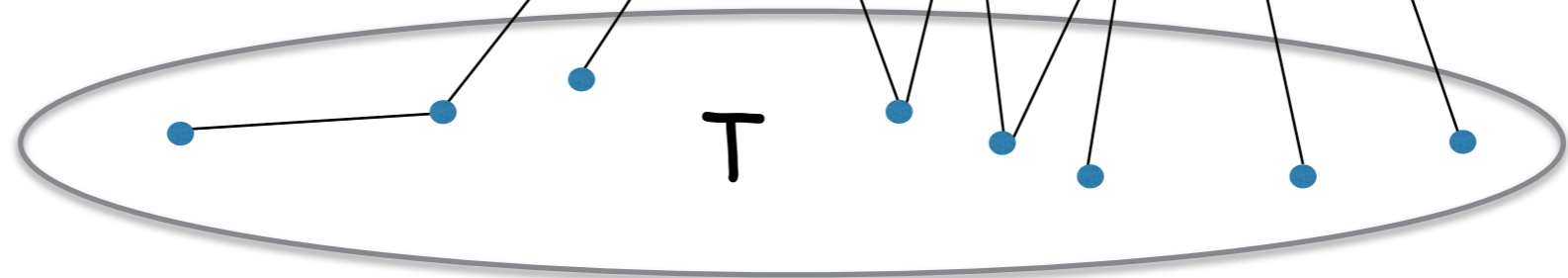
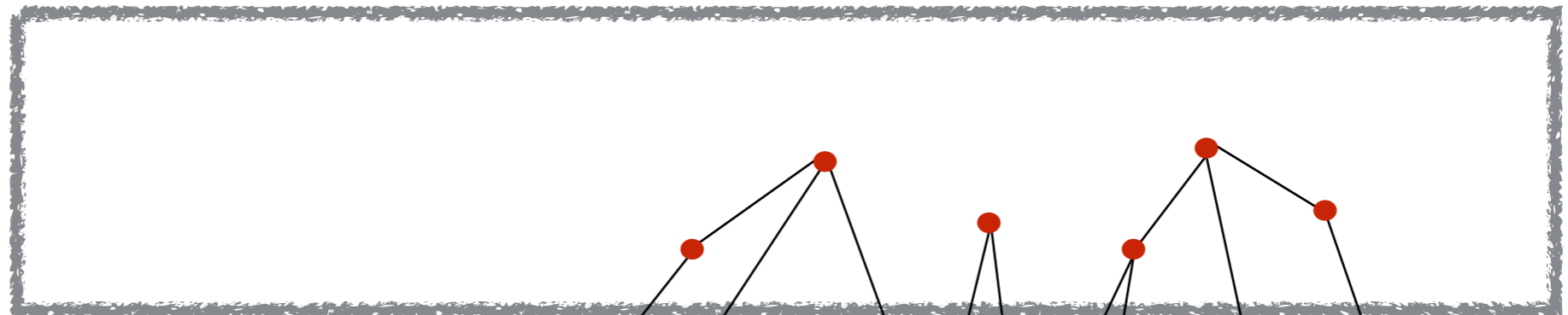
But again, which vertices?  
Even worse,  $G \setminus G'$  is not so simple as for vertex cover!

Hint: We only want to preserve approximate connectivity between solution vertices in  $V(G')$ .

# Digression: Steiner tree approximation

A Steiner tree for a set of terminals  $T$  is a connected subgraph of  $G$  spanning the vertices in  $T$ .

$V(G) \setminus T$

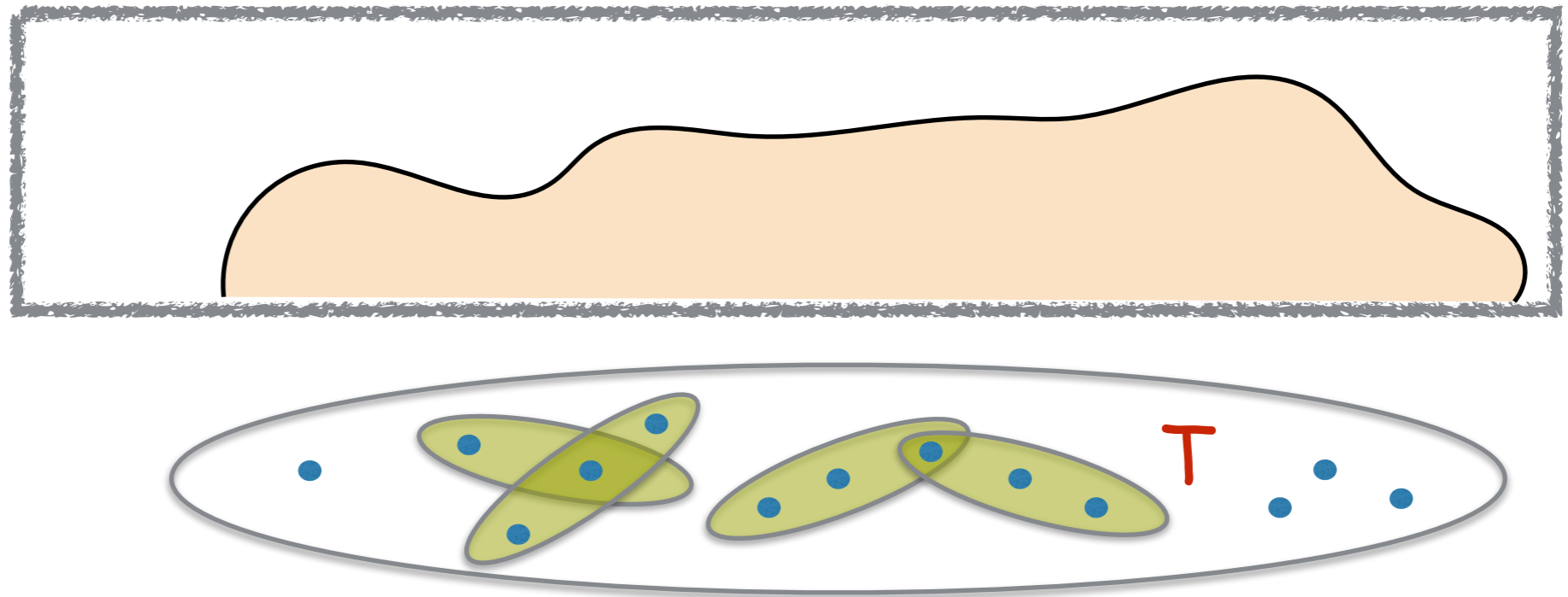


Terminal set

# Digression: Steiner tree approximation

For every error parameter  $0 < \epsilon < 1$ , there is a  $p(1/\epsilon)$  such that any set containing the optimal steiner tree for EVERY  $p(1/\epsilon)$ -sized subset of  $T$ , also contains a  $(1+\epsilon)$  approximate Steiner Tree for every  $R \subseteq T$ .

Borchers and Du, 95

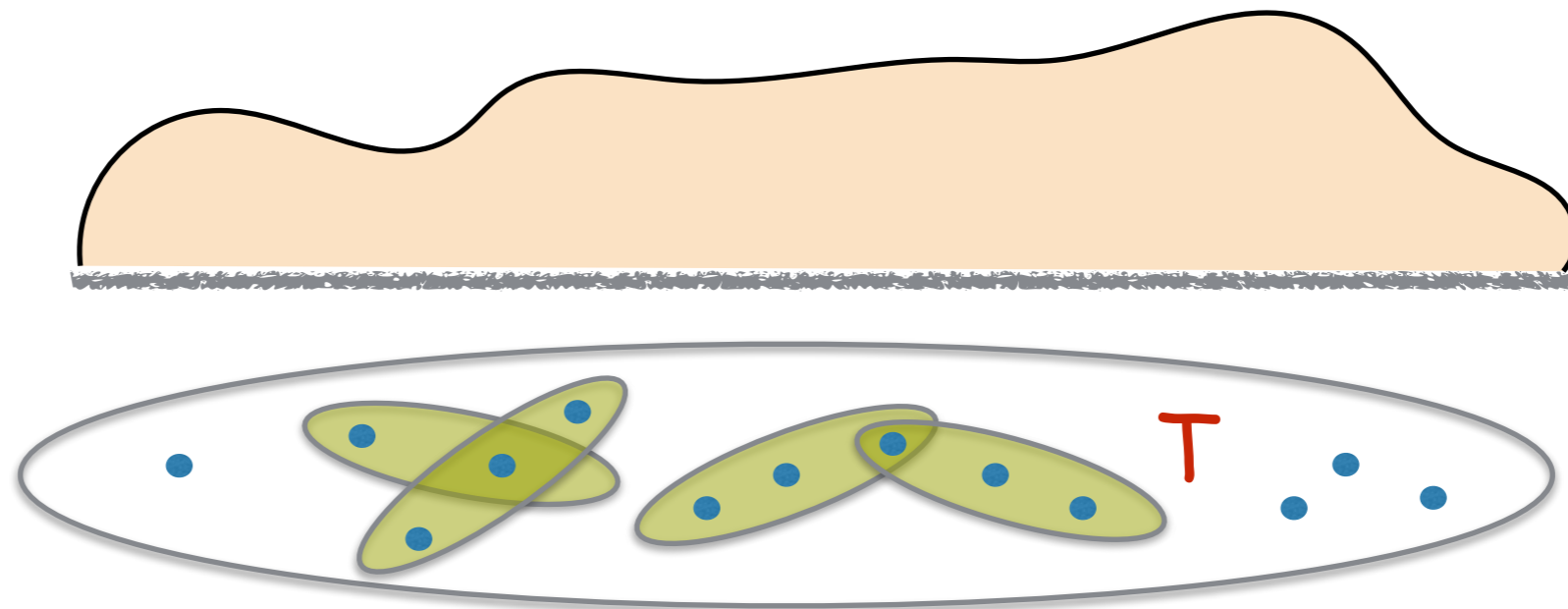


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Borchers and Du, 95

Total number  
of vertices  $\leq$   
 $|T| + q \cdot |T|^{p(1/\epsilon)}$

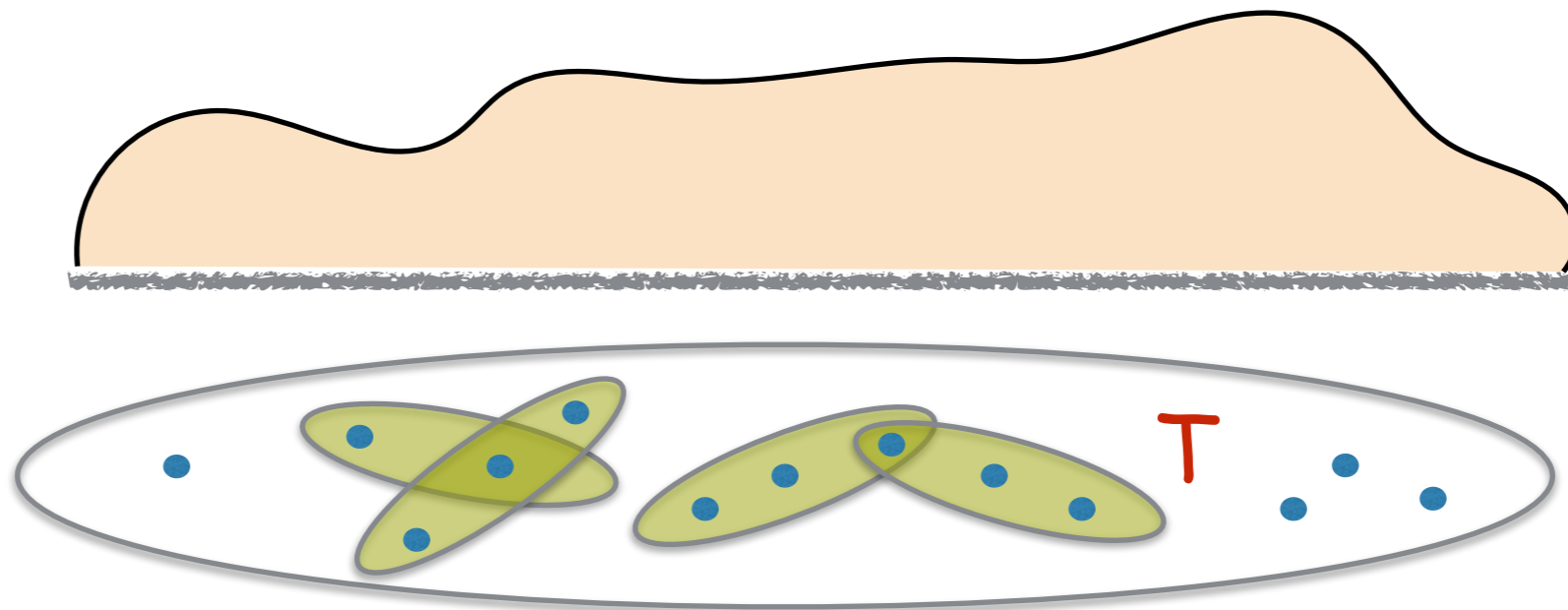


# Steiner tree approximation in our setting

For every error parameter  $0 < \epsilon < 1$ , there is a  $p(1/\epsilon)$  such that any set containing the optimal steiner tree for EVERY  $p(1/\epsilon)$ -sized subset of  $T$ , also contains a  $(1+\epsilon)$  approximate Steiner Tree for every  $R \subseteq T$ .

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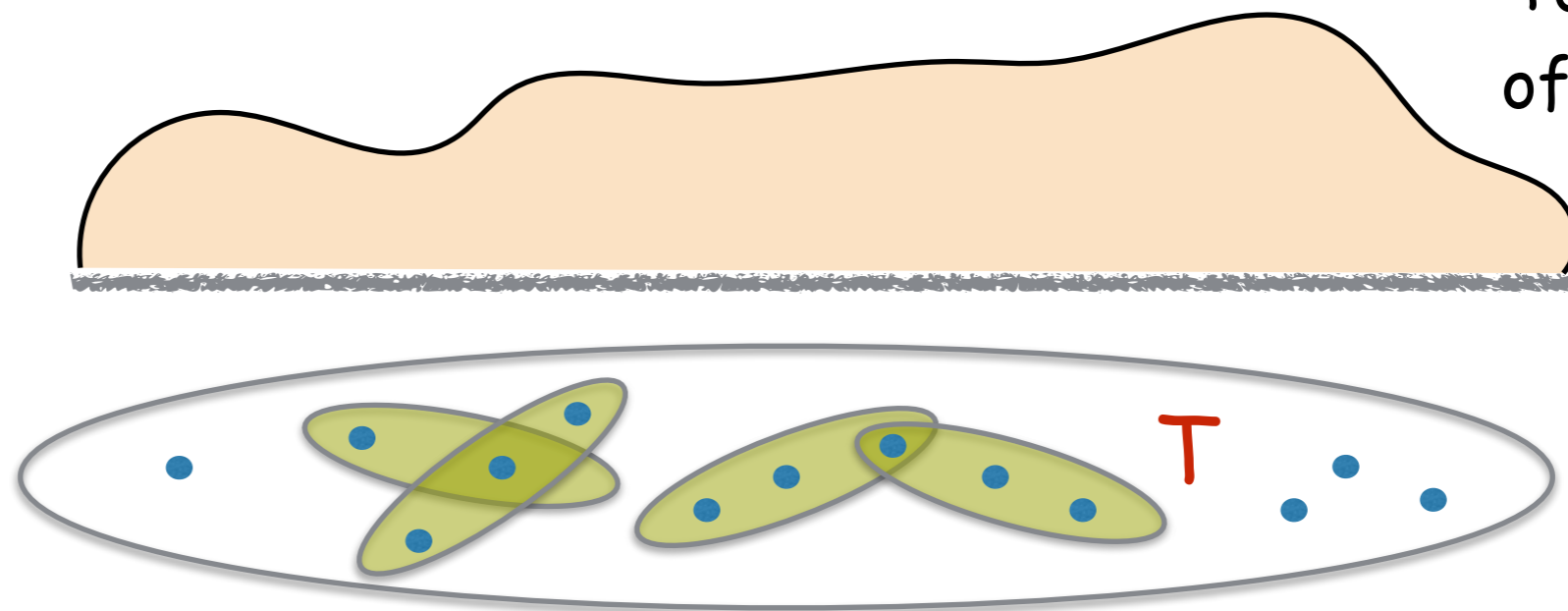
But for us,  $q = k$  and  $|T| = |V(G')| = k^{O(d)}$

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But for us,  $q = k$  and  $|T| = |V(G')| = k^{O(d)}$

# Steiner Tree

$2^k$  kernel

1.39-Appx

Steiner Tree  
(Terminals)

No poly  
kernel

APX-hard

$(1+\epsilon)$ -approximate  
kernel of  
polynomial size

For every error parameter  $0 < \epsilon < 1$ , there is a  $p(1/\epsilon)$  such that any set containing the optimal steiner tree for EVERY  $p(1/\epsilon)$ -sized subset of  $T$ , also contains a  $(1+\epsilon)$  approximate Steiner Tree for every  $R \subseteq T$ .

# Partial vertex cover

$(4/3-\epsilon)$ -Appx

Partial Vertex  
Cover

No kernel unless  
 $\text{FPT} = \text{W}[1]$

APX-hard

[Marx, 2008]

# Partial vertex cover

$(4/3-\epsilon)$ -Appx

Partial Vertex  
Cover

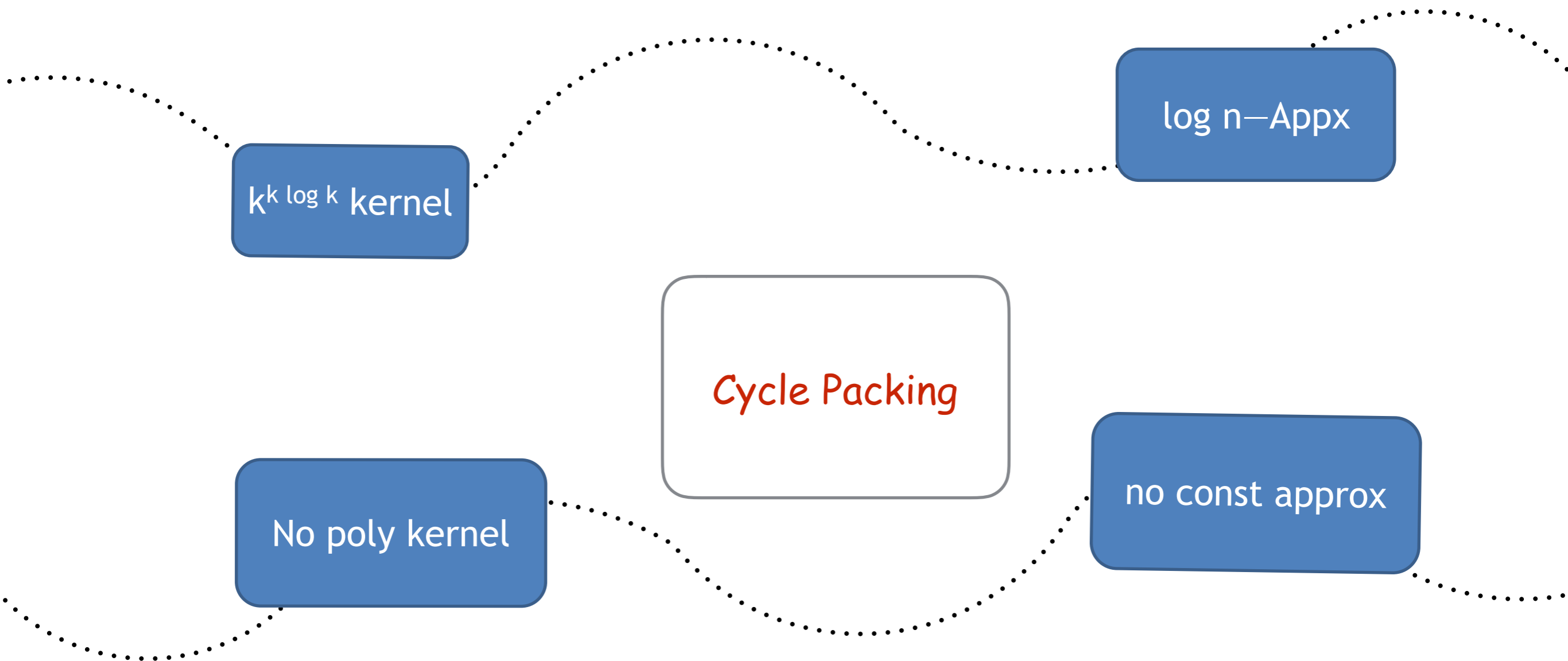
APX-hard

No kernel unless  
 $\text{FPT}=\text{W}[1]$

$(1+\epsilon)$ -approximate  
kernel of  
polynomial size

[Marx, 2008]

# Cycle Packing



[Lokshtanov, Panolan,  
[R.](#), Saurabh, 17]

# Cycle Packing

$k^k \log k$  kernel

log n-Appx

Cycle Packing

No poly kernel

no const approx

$(1+\epsilon)$ -approximate  
kernel of  
polynomial size

[Lokshtanov, Panolan,  
[R.](#), Saurabh, 17]

# More approximate kernels

| Problem Name     | Apx.  | Apx. Hardness                            | Kernel                             | Apx. Ker. Fact.  | Appx. Ker. Size   |
|------------------|---|--|------------------------------------|------------------|---|
| CONNECTED V.C.   | 2 [4,52]                                      | $(2 - \epsilon)$ [41]                    | no $k^{\mathcal{O}(1)}$ [22]       | $1 < \alpha$     | $k^{f(\alpha)}$   |
| CYCLE PACKING    | $\mathcal{O}(\log n)$ [51]                    | $(\log n)^{\frac{1}{2} - \epsilon}$ [33] | no $k^{\mathcal{O}(1)}$ [8]        | $1 < \alpha$     | $k^{f(\alpha)}$   |
| DISJOINT FACTORS | 2   | no PTAS                                  | no $ \Sigma ^{\mathcal{O}(1)}$ [8] | $1 < \alpha$     | $ \Sigma ^{f(\alpha)}$  |
| LONGEST PATH     | $\mathcal{O}(\frac{n}{\log n})$ [2]           | $2^{(\log n)^{1-\epsilon}}$ [39]         | no $k^{\mathcal{O}(1)}$ [6]        | any $\alpha$     | no $k^{\mathcal{O}(1)}$                                       |
| SET COVER/n      | $\ln n$ [55]                                  | $(1 - \epsilon) \ln n$ [47]              | no $n^{\mathcal{O}(1)}$ [22]       | any $\alpha$     | no $n^{\mathcal{O}(1)}$                                       |
| HITTING SET/n    | $\mathcal{O}(\sqrt{n})$ [48]                  | $2^{(\log n)^{1-\epsilon}}$ [48]         | no $n^{\mathcal{O}(1)}$ [22]       | any $\alpha$     | no $n^{\mathcal{O}(1)}$                                       |
| VERTEX COVER     | 2 [55]  | $(2 - \epsilon)$ [21, 41]                | $2k$ [15]                          | $1 < \alpha < 2$ | $2(2 - \alpha)k$ [30]   |
| $d$ -HITTING SET | $d$ [55]                                      | $d - \epsilon$ [20, 41]                  | $\mathcal{O}(k^{d-1})$ [1]         | $1 < \alpha < d$ | $\mathcal{O}((k \cdot \frac{d-\alpha}{\alpha-1})^{d-1})$ [30] |
| STEINER TREE     | 1.39 [11]                                     | no PTAS [12]                             | no $k^{\mathcal{O}(1)}$ [22]       | $1 < \alpha$     | $k^{f(\alpha)}$   |
| OLA/v.c.         | $\mathcal{O}(\sqrt{\log n \log \log n})$ [28] | no PTAS [3]                              | $f(k)$ [43]                        | $1 < \alpha < 2$ | $f(\alpha)2^k k^4$  |
| PARTIAL V.C.     | $(\frac{4}{3} - \epsilon)$ [27]               | no PTAS [49]                             | no $f(k)$ [35]                     | $1 < \alpha$     | $f(\alpha)k^5$  |

Lot of these problems have played a critical role in the development of kernel lower bound theory

# Longest Path

# Longest Path

Given a graph  $G$ , what is the length of a longest path in  $G$ ?

# Longest Path

Longest Path

No poly  
kernel

no const approx

No  $c$ -approximate poly  
kernel unless NP in  
coNP/Poly

# Longest Path

Longest Path

No poly  
kernel

no const approx

No  $c$ -approximate poly  
kernel unless NP in  
coNP/Poly

# Lower Bounds

Ruling out  $c$ -approximate  
polynomial kernels

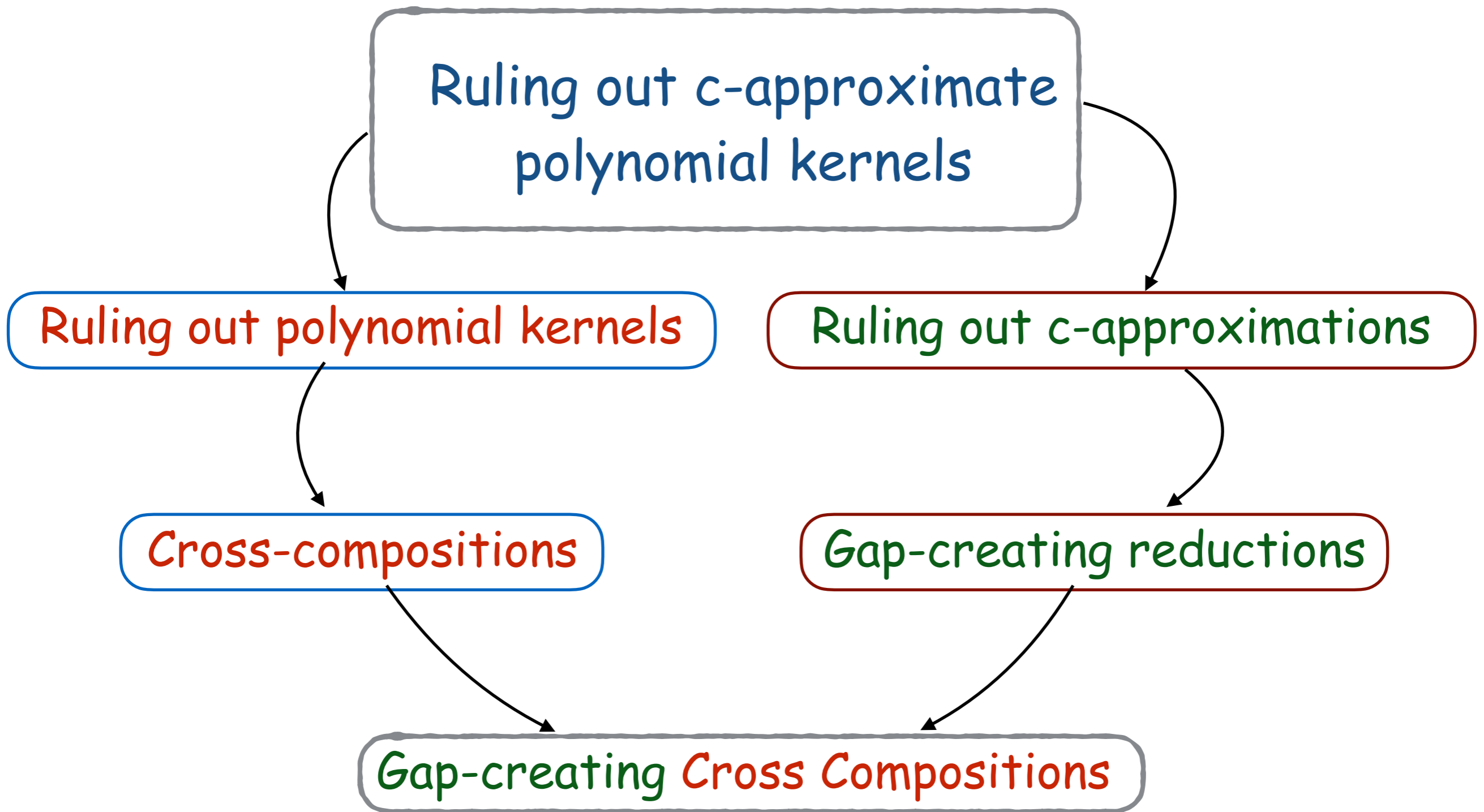
Ruling out polynomial kernels

Ruling out  $c$ -approximations

Cross-compositions

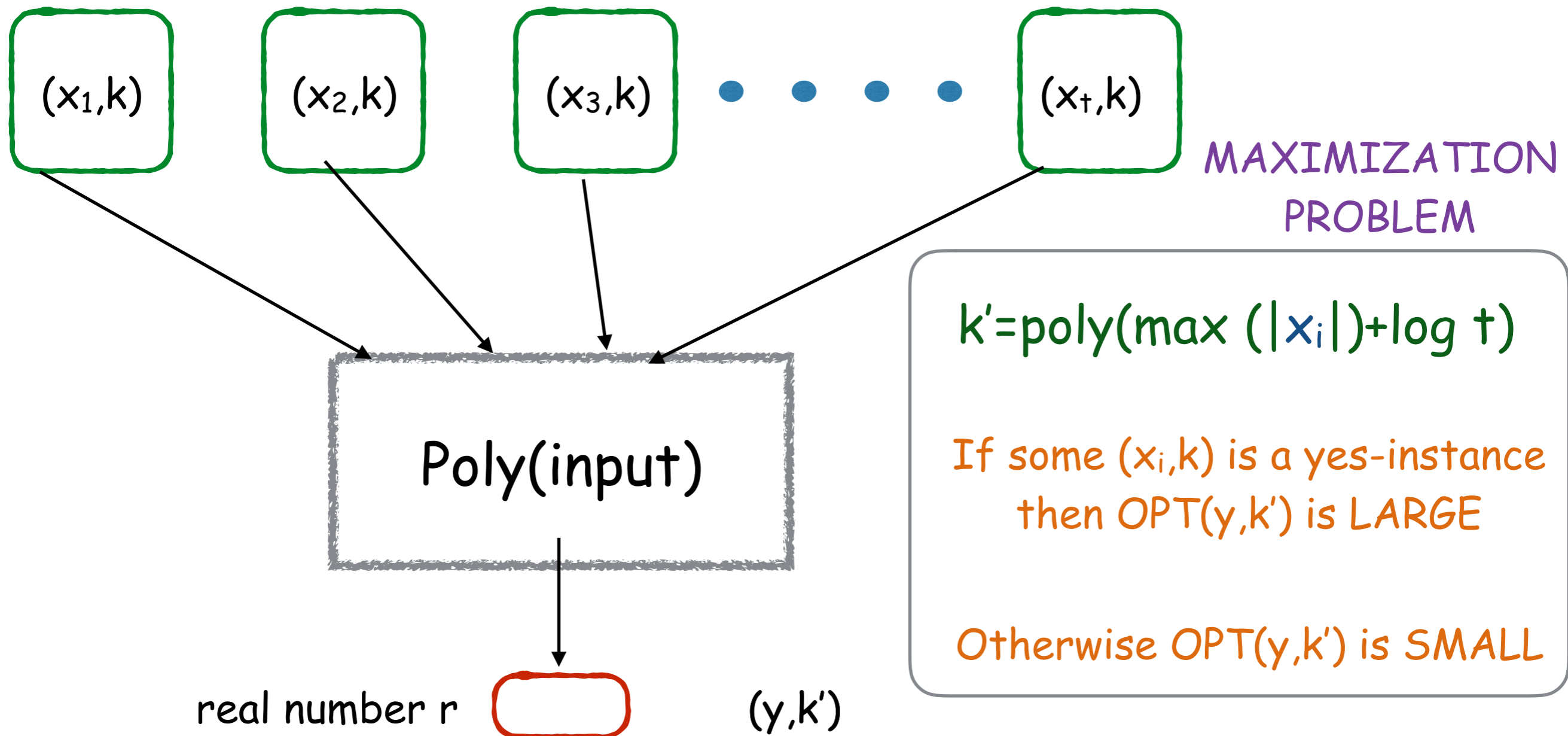
Gap-creating reductions

Gap-creating Cross Compositions



# Lower Bounds

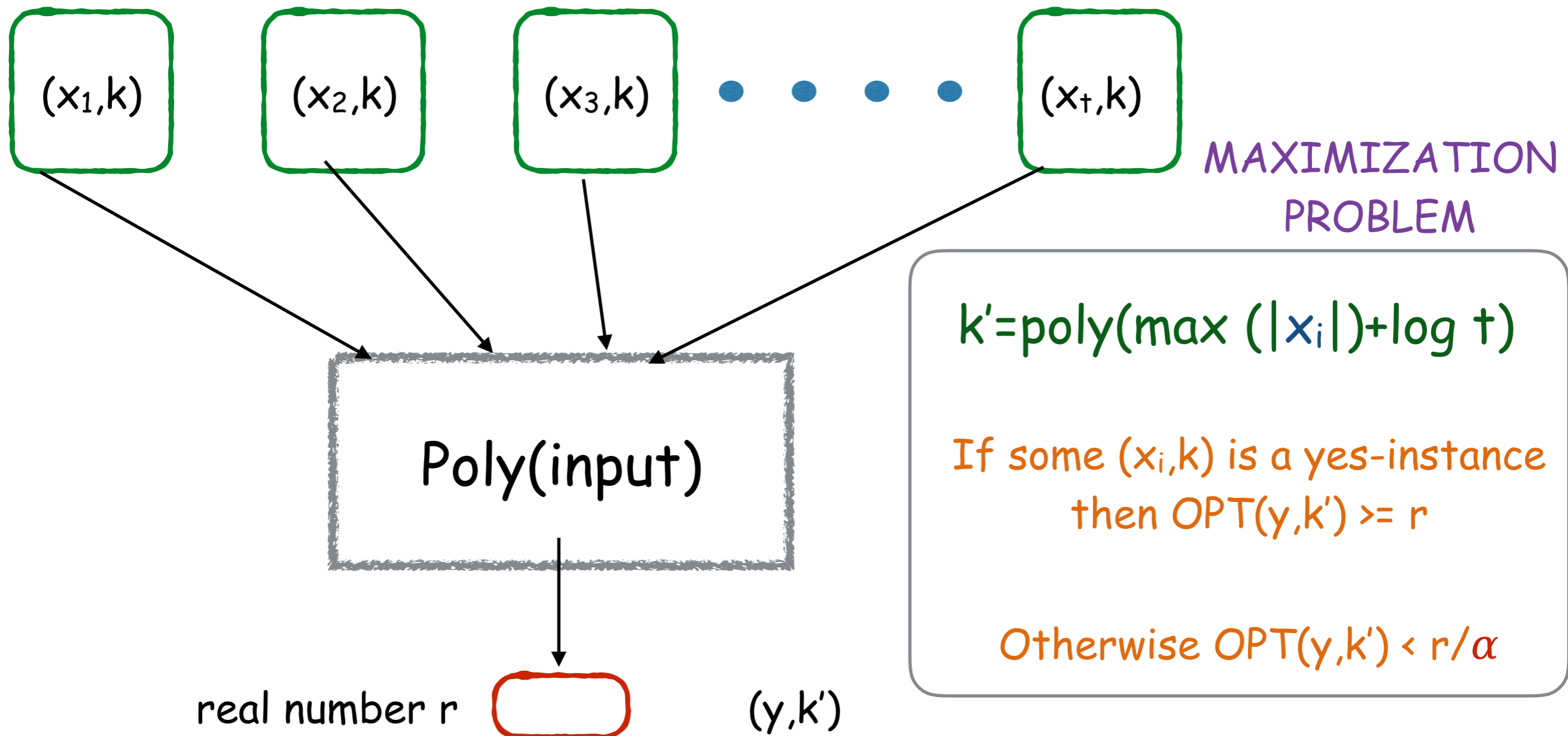
## Gap-creating Cross Compositions



# Lower Bounds

...  $\alpha''''$

Gap-creating Cross Compositions



# Lower Bounds

~~~~~ $\alpha''''$

Gap-creating Cross Compositions

Problem P

$\alpha$ -Gap-creating Cross Composition +  
 $\alpha$ -approximate polynomial  
compression



# Lower Bounds

$\alpha$ -gap Long Path cross composes into  
Longest Path



Longest Path has no  $\alpha$ -approximate  
polynomial kernel unless NP in coNP/poly.

## More Lower Bounds

Set Cover (universe size) has no  $\alpha$ -approximate polynomial kernel unless NP in coNP/poly.

# $\alpha$ -approximate Poly. Param. Transformations

Reductions to rule out  
approximate polynomial  
kernels

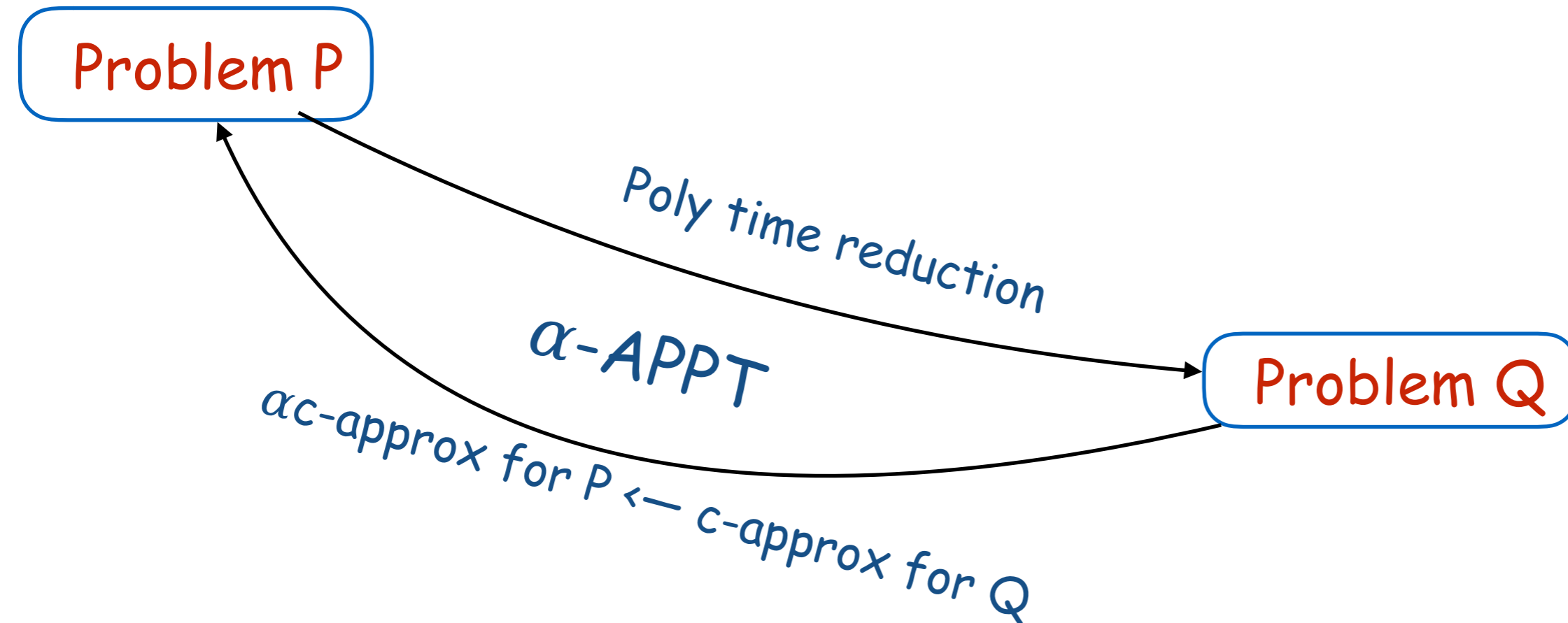
Problem P

Poly time reduction

$\alpha$ -APPT

$\alpha c$ -approx for P  $\leftarrow$  c-approx for Q

Problem Q



# $\alpha$ -approximate Poly. Param. Transformations

Reductions to rule out  
approximate polynomial  
kernels

Problem P

compress(Q)

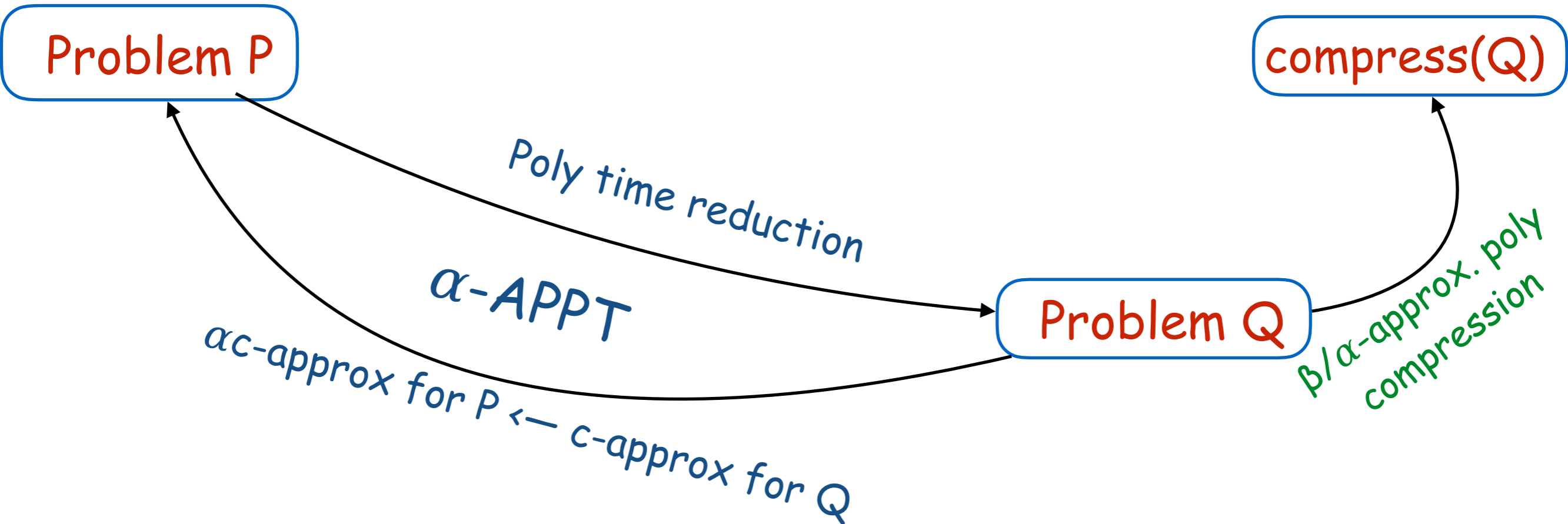
Problem Q

Poly time reduction

$\alpha$ -APPT

$\alpha c$ -approx for P  $\leftarrow$  c-approx for Q

$\beta/\alpha$ -approx. poly  
compression



# $\alpha$ -approximate Poly. Param. Transformations

Reductions to rule out  
approximate polynomial  
kernels

Problem P

$\beta$ -approximate polynomial  
compression

compress(Q)

no  $\beta$ -approximate polynomial compression for P +  $\alpha$ -APPT from P to Q  $\rightarrow$   
no  $\beta/\alpha$ -approx. poly compression for Q

Other questions to attack using lossy  
kernels

Other questions to attack using lossy  
kernels

# Other questions to attack using lossy kernels

No reason why the study of approximate kernels should be restricted to problems without polynomial kernels

## approx factor vs kernel size

### Dominating Set on $d$ -degenerate graphs

a vertex set  $S$  such that every vertex in  $V(G) \setminus S$  is adjacent to a vertex in  $S$ .

a graph where every subgraph has a vertex of degree at most  $d$

There is a  $d^2$ -approximation [Jones et al.]

There is a kernel of size  $k^{O(d^2)}$  [Philip et al.]

Cannot have a kernel of size  $k^{o(d^2)}$  [Cygan et al.]

## approx factor vs kernel size

Dominating Set on  $d$ -degenerate graphs

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a graph where every subgraph has a vertex of degree at most  $d$

There is a  $d^2$ -approximate kernel of size  $O(1)$

There is a 1-approximate kernel of size  $k^{O(d^2)}$

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Is there a curve interpolating between these two extremes?

# approx factor vs kernel size

## Dominating Set on $d$ -degenerate graphs

a vertex set  $S$  such that every vertex in  $V(G) \setminus S$  is adjacent to a vertex in  $S$ .

a graph where every subgraph has a vertex of degree at most  $d$

[Eiben, Hermelin, R. 17]

For every  $\rho$  in  $\{1, \dots, d\}$  there is a  $(d/\rho)$ -approximate kernel of size  $k^{O(\rho d)}$

approx factor vs kernel size

Open Problem:

What about  $d$ -hitting set?

There is a  $d$ -approximate kernel of size  $O(1)$

There is a 1-approximate kernel of size  $k^{O(d)}$

Is there a curve interpolating between these two extremes?

# uniform vs non-uniform kernels

Treewidth- $t$  deletion problem has a kernel of size  $k^{f(t)}$

[Fomin, Misra, Lokshтанov, Saurabh, 12]

Treewidth- $t$  deletion problem does not have a kernel of size  $f(t) k^{O(1)}$  unless NP in coNP/poly.

[Giannopolou, Jansen, Lokshтанov, Saurabh, 15]

Treewidth- $t$  deletion problem has a  $(1+\epsilon)$ -approximate kernel of size  $f(t) k^3$

[Koutecký, Lokshтанov, Misra, Saurabh, Sharma, Zehavi 17]

## Take home message

- For many problems, allowing a small loss in accuracy, gives a dramatic improvement in kernel size.
- Interesting questions even for problems with poly kernels!
- Does not work for all problems! There is a lower bound machinery.
- If you like kernelization and/or approximation, you'll probably like their



as well!

# Open Problems



- ◆ Many many many open problems.
- ◆ Full version of main paper on arxiv has a list of problems.

What about Directed Feedback Vertex Set?

Thank you for your attention!

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